

SPECIFICATIONS FOR CONSTRUCTION

In general, unless specifically set forth herein, the work, materials, and methods of measurement and payment shall conform to the applicable divisions and paragraphs (as noted on the Bid Proposal or in the plans) of the most current edition of the:

**COMMONWEALTH OF KENTUCKY
TRANSPORTATION CABINET
DEPARTMENT OF HIGHWAYS, FRANKFORT**

Standard Specifications
for
Road and Bridge Construction

SPECIAL PROVISIONS

ITEMS 105.07 / 107.15 - COOPERATION WITH UTILITIES

All portions of Item 105.07 and Item 107.15 of the Kentucky Department of Highways Standard Specifications for Road and Bridge Construction shall apply.

At least two (2) working days prior to commencing construction operations in an area which may involve underground utility facilities as shown on the plans, the Contractor shall notify the Engineer, the registered utility protection service, and the owners of each underground utility facility not members of the registered utility protection service.

The existing underground utilities are shown as accurately as possible on the plans, based on information available. The Owner and/or the Engineer do not assume any liability for location of these underground utility service lines. Any utility services damaged that were previously marked in the field shall be replaced at the Contractor's expense.

Where the plans provide for conduit to be connected to, or to cross either over or under, or close to an existing underground structure, it shall be the responsibility of the Contractor to locate the existing structure, both as to line and grade, before he starts to lay the proposed conduit, in order to assure compatibility with line and grade of the proposed conduit. Payment for all operations described above shall be included in the unit price bid for the pertinent conduit item.

The Contractor shall adjust or arrange with utility company to adjust to proposed grade all existing utility facilities, i.e., manholes, catch basins, valves, boxes, etc., prior to the commencement of paving operations. This shall include utility facilities not shown on the plans, which may be found to be located within the pavement area. Work performed on the utility facilities shall be in strict accordance with the specifications of the applicable utility company and shall be performed under the direction, supervision, and inspection of said company.

COORDINATION WITH UTILITIES

Coordination of work schedules with affected utilities will be required. Upon the contract award, the coordination of all necessary relocations or adjustment of all utility facilities become the responsibility of the Contractor.

ITEM 105.06 - COOPERATION BETWEEN CONTRACTORS

The Contractor shall coordinate his work with other Contractors within or adjacent to the project limits. All improvements completed under this contract shall meet the line and grade of other work in an acceptable manner.

ITEM 106 - CONTROL OF MATERIAL

Unless otherwise specified, all materials shall be new, and both workmanship and materials shall be of proper quality and sufficient for the purpose contemplated. The Contractor shall furnish, if so required, satisfactory evidence as to type and quality of materials and workmanship.

All items of equipment and/or material proposed by the Contractor for substitutions must be approved by the Engineer in writing and shall be equal or superior to the items specified in the contract documents. If said substitution proposed by the Contractor for a specified item requires engineering revisions, the total expense of said revisions shall be paid by the Contractor.

Any items of labor and materials required, but not shown as a separate pay item in the proposal, shall be furnished and installed as incidental to the contract, except as noted in the plans and specifications.

ITEM 106.08 - STORAGE OF MATERIALS

The Contractor shall obtain prior approval in writing from the Owner for the locations to be used for the temporary storage of construction materials, tools, and/or machinery. All such materials, tools, and machinery shall be neatly and compactly piled in such a manner as to cause the least inconvenience to the property owners and to traffic. Under no circumstances shall existing drainage courses be blocked or water hydrants, valves, or meter pits covered. All materials, tools, machinery, etc., stored upon public thoroughfares must be provided with warning lights and reflective sheeting at nighttime and weekends to alert traffic of such obstructions.

ITEM 108.02 - PRECONSTRUCTION CONFERENCE

Prior to the commencement of construction activities, the Engineer will arrange a meeting between the Contractor, the representatives of the Owner, and the representatives of each of the utility companies. The time, date, and location of said meeting will be determined after the awarding of the contract, and the parties will be notified by the Engineer.

The agenda for the preconstruction meeting shall include the following items:

1. Announcement of Award
2. Utility Company Requirements
3. Designation of Emergency 24-hour Contractor Contacts
4. Discussion of Critical Plan Items
5. Review of Testing and Inspection Procedures
6. Operations Schedule
7. Listing of Haul Roads
8. Identification of Subcontractors
9. Review of Change Order Process
10. Payment Request Submittal Procedure

The Contractor shall coordinate all work with the Engineer. A detailed schedule of operations shall be furnished by the Contractor to the Engineer at the preconstruction meeting and shall list the order of operations and the time frame for the completion of each item of work. The schedule of operations shall be approved by the Engineer and the Owner in writing prior to the beginning of the work. Changes to said schedule are to be issued in writing and approved by the Engineer and the Owner before operations are changed or rescheduled. No payment will be made to the Contractor while he is delinquent in the submission of a progress schedule.

The Contractor shall supply to the Engineer at the preconstruction meeting, a list of the local roads to be used for the purpose of hauling equipment and/or material to or from the job site. Only the local roads in the vicinity of the project have to be listed; state and/or federal roads do not have to be included. Where necessary, the list shall include the extent of the roads to be affected and any special restrictions, such as height or weight restrictions, which may be applicable along said roads. Construction shall not commence until the Engineer and/or Owner has reviewed the haul road list and approved the haul roads in writing.

The submission of the list to and the review and approval of the list by the Engineer do not relieve the Contractor of the responsibility for the conforming to and the obeying of all applicable height and weight restrictions on the haul roads and of the responsibility for any damage done to and/or along said haul roads. The Contractor is referred to Item 105.10 concerning load restrictions.

ITEM 107.04 - PERMITS, LICENSES AND TAXES

The Contractor shall insure that all required notices are given and all permits acquired before the commencement of work. The Engineer will discuss any special permits required for this project at the preconstruction meeting.

ITEM 107.14 - CONTRACTOR'S RESPONSIBILITY FOR WORK

It shall be the responsibility of the Contractor to perform his work in such a manner as not to damage or destroy any existing feature (i.e., existing inlets, conduits, etc.), which is not marked for replacement or removal. The Contractor shall exercise due care during construction so as not to destroy any trees, plants, shrubs or structures not specifically marked for removal or relocation within the work limits. In some instances, the Contractor will be required to excavate under and around the existing utilities. Extreme care should be used not to damage the utility during this operation. The Contractor shall schedule his operations so that the improved areas have had sufficient time to cure, set and/or harden before the area is opened to traffic or use. The Contractor shall be responsible for the immediate repair of the improved area if any damage is done by traffic. The Contractor shall also be responsible for the immediate rectification of problems created in areas outside of the improved areas which are attributable to the failure of the improved area, i.e., the tracking of materials into unimproved areas.

The Contractor shall be responsible for the protection of areas outside of the designated work limits, but which may be adjacent to those work limits. This will include those areas used by construction traffic for access to and from the work areas. Where the Engineer and/or the Owner determine that the Contractor's operations have been responsible for damage to areas outside of the work limits, the Contractor shall be responsible for the repair of the area subject to the approval of the Engineer. No additional compensation will be due to the Contractor for any such repairs as described above.

ITEM 201 – STAKING

On this project, the Contractor shall be responsible for Construction layout for the improvements indicated in the plans.

ITEMS 202 / 203 REMOVALS

When a bid item is to include the cost of removal of a classified or unclassified material, it shall be the responsibility of the Contractor to verify in the field the type of material and the thickness of the material to be removed prior to submitting his bid. No additional allowance will be due the Contractor for added expense of removals due to unknown materials or thickness.

ITEMS 202 / 203 - DEBRIS REMOVAL

The Contractor will be responsible for removal of all construction debris from the site. All debris shall be disposed of in a proper manner and shall be as directed by all applicable local, state, or federal regulations.

ITEM 206 / 207 / 302 / 701 - TESTING OF COMPACTED MATERIALS

Compaction testing of embankment, granular backfill, and/or subgrade shall be done by an independent qualified testing laboratory under a contract with the Contractor. Testing shall be done in the presence of the Engineer at locations specified by the Engineer and shall meet standards as specified in Items 206, 207, 302 and 701.

ITEM 212 - SEEDING AND PROTECTION

All grass areas disturbed by construction shall be restored by seeding and mulching as per Item 212.03. The cost of this work shall be incidental to the Contract.

The Engineer shall determine all areas requiring seeding, mulching and fertilizer pertinent to this contract.

ITEM 212 - COMMERCIAL FERTILIZING

All areas to be seeded and mulched under Item 212.03 shall have commercial fertilizer (12-12-12) applied to the rate of 20 pounds per 1000 square feet, the cost of which shall be incidental to the contract.

ITEM 212 / 213 - EROSION CONTROL AND WATER POLLUTION CONTROL

The Contractor shall take extreme care to prevent unnecessary erosion, water pollution and siltation at all points of the project. Temporary seeding and mulching, straw bales, slope drains, etc., shall be used as necessary or as directed by the Engineer. The cost of all temporary erosion control measures shall be incidental to the contract.

TESTING OF CONSTRUCTION MATERIALS

Portland Cement Concrete: All portland cement concrete work shall be tested by an independent testing laboratory. The independent testing laboratory shall secure a random sample from each 50 yards of concrete delivered to the job site. A minimum of one sample shall be made each day that concrete work is performed. One sample consists of four specimens. Four specimens shall be molded by the testing laboratory and cured from each sample, in accordance with ASTM C 172. Cylinders shall then be tested in accordance with ASTM C39. One (1) specimen shall be tested at 7 days for information, and two (2) specimens shall be tested at 28 days for acceptance. The acceptance test results shall be the average strength of the two specimens tested at 28 days. The fourth cylinder shall be tested at 56 days only if the 28-day test results do not meet specifications.

Using ASTM C 143, the testing agency shall determine the slump of the concrete for each sample, and also whenever the consistency of the concrete appears to vary. The agency shall also determine the air content of the concrete for each sample, in accordance with either ASTM C 231, ASTM C 173, or ASTM C 138.

The agency shall report all test and inspection results to the Engineer, Contractor, and concrete supplier in writing one working day after the work is performed. All test reports shall include the exact location in the work at which the batch represented by a sample was deposited. Reports of strength tests shall include detailed information on storage and curing of specimens prior to testing.

All concrete work not meeting the specifications as listed in Item 501 and 601 shall be removed immediately and replaced in an acceptable manner with no additional compensation to the Contractor, unless provisions for an extended guarantee are provided herein.

Asphalt Concrete: Item 403 Asphalt Materials shall be plant inspected by an independent testing company; and tickets shall be stamped with the inspector's seal, indicating that material shipped to the job site meets the requirements of the specifications.

Seven (7) days prior to commencement of construction, the Contractor shall submit to the Engineer a list of two (2) or three (3) proposed, accredited testing firms. The Engineer shall then select from the submitted list the name of the firm which is to be responsible for all of the required testing.

The Contractor is responsible for notifying the testing agency 24 hours prior to starting work requiring material testing. If the Contractor fails to provide testing as per any of the above requirements, he will be required to stop work until proper arrangements have been made with the testing agency.

The testing agency and its representatives are not authorized to revoke, alter, relax, enlarge or release any requirement of the contract documents, nor to approve or accept any portion of the work.

The Contractor shall include the cost of all required tests in the unit price bid for the pertinent item and no separate compensation is to be made for said testing.

ITEM 601 - CONCRETE - GENERAL

All concrete for roadway paving, curbs, sidewalks, drive aprons, steps and headwalls shall have a minimum of 5 percent entrained air and a maximum of 8 percent entrained air. For each sample, the average strength of the 7-day and the two 28-day tests shall equal or exceed 4000 psi, and no individual strength test shall fall below 3500 psi.

If the averages of all sets of three consecutive strength test results meet the following strengths, an extended guarantee will be required on all concrete work.

- a) 3500 psi to 3799 psi - 3 year guarantee
- b) 3800 psi to 3999 psi - 2 year guarantee

ITEM 701 - RESTORATION OF AREAS DISTURBED BY DRAINAGE FACILITIES

Soil areas disturbed by construction of underdrains, trench drains, conduits, catch basins or other drainage facilities shall be regraded to drain properly and then restored with top soil, seeding and mulching. The cost of restoration of these soil areas is to be included in the unit price bid for the pertinent drainage item.

Pavement areas (including walks, drives, curbs, etc.) disturbed by the construction of the drainage facilities will be restored as per the applicable asphalt pavement repair detail or the concrete

pavement detail. Payment for the restoration of these areas will be at the unit price bid for the pertinent pavement item.

ITEM 701 REMOVAL OF WATER

The Contractor shall keep all excavations free from water while the excavation for or the construction of conduits is in progress; shall build all dams, bulkheads, underdrains, sumps, and other work necessary for this purpose; and shall provide and keep the excavation dry and free from water at all times.

The Contractor shall provide for the disposal of all water removed from the excavations in such manner as to prevent injury to the public, the public health, public or private property, or to any portion of the work completed or in progress, or the surface of the streets, and to prevent any inconvenience to the public. No ground and/or surface water shall be diverted into existing sanitary sewers.

No conduits shall be laid or built in water, and waste shall not be allowed to flow over to rise upon any concrete, brick masonry or conduit until the work has been observed and has set for at least twenty-four (24) hours.

The flow of water in all existing sewers, drains, gutters, or watercourses encountered during the construction period shall be adequately maintained by the Contractor at his expense.

ITEM 701 - TESTS

Materials

The Contractor shall submit to the Engineer test reports for materials supplied to be used in the construction whenever the Engineer has not received certified letters from material suppliers that materials meet the specifications called for, or there is visible evidence on the work site that the materials do not conform to the visual inspection section of the specifications called for.

The test reports shall be based upon standard methods of testing as called for in the materials specifications and as set forth by the American Society for Testing Materials (ASTM). The testing shall be done at a laboratory approved by the Engineer.

All materials shall conform to SD1 Specifications; Sections 15051, 15061 and 15067.

ITEM 703 - SLOPE AND CHANNEL PROTECTION

Item 703 is provided in the plans for erosion control. Rock or turf of a stable nature will not be removed in order to place any of these items. The Engineer shall check and non-perform quantities or adjust locations and quantities for this item as warranted by field conditions during construction.

ELECTRIC UTILITY NOTES

DUKE ENERGY

1. **DANGER** - Contractor shall contact the company prior to excavation in vicinity of electric underground facilities (approximate plan location shown) or when working near overhead electric facilities.
 - (A) For Field Inspector to locate underground electric line, in Ohio call "Ohio Utilities Protection Service" at 1-800-362-2764, and in Kentucky call "Kentucky Underground Protection Service (KUPS)" at 1-800-752-6007 (at least 48 hours in advance), excluding hours Sat., Sun., and State Legal Holidays.
 - (B) For notification of construction activity near energized electric facilities, call Mr. Bob Schroeder, 287-3426.
 - (C) For additional underground electric record information, call 287-2454.
 - (D) For electric engineering notification, agreements and correspondence, address to Mr. James Dugan, Central Accounting Marketing Section, Duke Energy, P. O. Box 960, Cincinnati, Ohio 45202-0960.
2. Contractor shall be responsible for all damages to electric facilities during construction.
3. Electric facilities to be kept in service at all times.
4. Contractor shall be responsible for supporting existing electric facilities affected by the proposed construction.
 - A. Where high pressure oil filled pipe type cable installations are exposed or otherwise interfered with by the Contractor, protection by the Contractor will be required against damage to the coating or surrounding thermal sand envelope.
 - B. Where concrete encased conduit systems or direct buried cable systems are exposed or otherwise interfered with, the Contractor shall protect the system as necessary against damage. As soon as feasible, the Contractor shall take additional appropriate steps to provide permanent measures to restore support. The methods used shall be based on conditions to be determined by the utility.
 - C. Where poles or anchors that support overhead electric facilities are exposed or otherwise interfered with, the Contractor shall protect them from damage and provide temporary support to insure the integrity of the system. As soon as feasible, the Contractor shall take additional appropriate steps to provide permanent measures to restore support. The methods used shall be based on conditions to be determined by the utility.
 - D. Where the depth of excavation for the proposed work is greater than five (5) feet, the Contractor shall sheet and shore the trench to continuously maintain the support of electric facilities at locations where the electric facilities are within the zone of influence adjacent to the excavation as determined by the natural angle of repose of the soil.
 - E. All damage to electric facilities and services requiring adjustments, relocations and/or repairs will be made at the Contractor's cost.
5. Contractor shall not backfill exposed electric facilities until the company has inspected its facility or performed any adjustments and/or maintenance that may be required.

NOTE: Should Contractor damage electric facilities, Contractor shall immediately notify the Electric Service Desk through the Company Operator (381-2000). Contractor shall keep everyone clear of damaged electric facilities until company personnel arrive at the work site.

GAS FACILITY NOTES

DUKE ENERGY COMPANY

Gas Facility Notes

- I. For Gas Engineering Notification, agreements, and official correspondence, address to:

Duke Energy
139 East Fourth Street
P.O. Box 960, Room 460-A
Cincinnati, Ohio 45202

- II. The gas main information provided shows the approximate locations and depths of cover and is provided to comply with statutory regulations. This information should be used only for planning, not construction.
- III. All gas main depths of cover noted are approximate depths of cover recorded at the time of installation. Any resulting grade changes since the time of the main installation will cause the existing depth of cover to be different. Extreme care must be taken to ensure safe excavation when approaching known or suspected gas facilities.
- IV. All gas services were installed at a minimum of 1'-6" of cover. See item III above.
- V. For additional gas facility record information, call 1-800-372-7612.
- VI. To comply with federal and state regulations concerning damage prevention programs, the utility companies must be contacted at least 48 hours (two working days) prior to excavation by calling the OHIO UTILITIES PROTECTION SERVICE (OUPS), toll free, at 1-800-362-2764.

Construction Notes

- I. Gas facilities are to be kept in service at all times.
- II. The Contractor shall be responsible for all damages to gas facilities during or as a result of the Contractor's construction. All damage to gas facilities requiring adjustments, relocations and/or repairs will be made at the Contractor's cost.

- III. The Contractor shall sheet and shore all excavations as required to continuously support gas facilities within the zone of influence (as determined by the natural angle of repose of the soil).
- IV. Crossing buried gas facilities with heavy construction equipment may cause damage to the gas facilities. Contact the Duke Energy Gas Engineering Department for details on how to protect the gas facilities from damage.
- V. The Contractor shall not backfill exposed gas facilities until the utility has inspected its facilities and performed any maintenance and/or adjustments that may be required.
- VI. The Contractor is responsible for preventing any damage to our gas facilities. This includes protection of coatings and wrappings on steel gas mains. It also includes any damage with may have occurred to plastic gas mains, such as crimps or gouges.
- VII. When cast iron or similar gas facilities are exposed or interfered with by the Contractor, replacement or reinforcement by Duke Energy may be required at the Contractor's expense. Backfill with control low strength material will be required.
- VIII. Blasting or other construction procedures which may transmit loads or vibrations in the vicinity of gas facilities must be approved by Duke Energy Gas Engineering Department. A blasting plan, identifying all pertinent information, must be submitted in writing by a blasting expert prior to any work.

Proposed Developments at Gas R/W & Easements (If Applicable)

- I. Proposed development plans around and near gas facilities within private easements must be submitted to Duke Energy Gas Engineering Dept. for review. These plans must be approved before any work may begin within our easements.
- II. Specified easement widths must be maintained in order for Duke Energy to protect its facilities.
- III. No permanent structures may be built within the easements.
- IV. Cuts and fills are generally not permitted within the easements. Some fills may be allowed, and will be reviewed on an individual basis. Any permitted fills will be limited to an amount which will allow Duke Energy to properly maintain its facilities.
- V. Perpendicular utility crossings of gas easements are acceptable, provided proper clearances are maintained. Parallel installations are normally not allowed.

NORTHERN KENTUCKY WATER DISTRICT NOTES

All work pertaining to water works items shall be done in strict accordance with the specifications of the Northern Kentucky Water Service District and under the direction, supervision and inspection of the Water District. Water main items are to be constructed in accordance with the provisions of the Kentucky 2000 Transportation Cabinet / Department of Highways, Standard Specifications for Road and Bridge Construction, dated January 1, 2000, and any supplements or changes thereto. Copies of all pertinent specifications may be obtained from the Northern Kentucky Water Service District.

A cushion of 12" shall be maintained between the proposed water mains and the existing sewers, inlet connections, and drains. If a greater clearance is desired, it will be so designated. Building sewer laterals are not to be disturbed or trapped. Existing drains, sewers and culverts are not to be disturbed. If the water main is to be under culverts or pipe sewers, they shall be tunneled and backfilled with Class "T" concrete.

It shall be the Contractor's responsibility to arrange for removal and replacement of any poles and guys necessary for the installation of the proposed water mains, and any cost connected thereto shall be his expense.

All backfill to be Method "A" except where otherwise noted.

No part of any fire hydrant setting shall be installed closer than five feet to any driveway, inlet, utility pole, or guy wire anchor.

No extra payment will be made for lead joints.

SANITARY SEWER NOTES

Sanitary sewer and/or combination sewer items are to be constructed in accordance with the provisions of the Sanitation District No. 1, and under the direction, supervision and inspection of the Sanitation District No. 1. Sanitation sewer items are to be constructed in accordance with the provisions of the Kentucky 2000 Transportation Cabinet / Department of Highways, Standard Specifications for Road and Bridge Construction, dated January 1, 2000, and any supplements or changes thereto.

The Contractor shall supply separate bid items for raising manholes using manhole adjustment rings and for using brick and mortar. If only one bid item is received, the Contractor shall raise all manholes with brick and mortar. Sewer manhole adjustment prior to machine paving shall be done in accordance with the Sanitation District No. 1 Rules and Regulations.

In the event that manhole adjusting rings cannot be used on sanitary and/or storm sewer manholes, the Contractor shall be required to use brick masonry and to adjust manholes to grade. Stacking of adjusting rings shall not be permitted. Substandard or damaged manhole casting shall be replaced with standard casting.