



Campbell County Fiscal Court  
1098 Monmouth Street, Newport, KY 41071

**Invitation to Bid**

**I. Bid Information**

- a. Bid Request: Bridges  
ELR 74 – DODSWORTH LANE  
KYTC 019C00005N20– LOWER TUG FORK  
Bid posted March 8, 2022
- b. Department: Road
- c. Bid opening: March 28, 2022, 2:00 PM
- d. Location of Open: Campbell County Administration Building, 1<sup>st</sup> Floor Chambers,  
1098 Monmouth Street, Newport, Kentucky
- e. Bidder Information:

Bidders Name \_\_\_\_\_

Signature \_\_\_\_\_

Firm/Company \_\_\_\_\_

Firm/Co. Address \_\_\_\_\_

Firm/Co. Phone \_\_\_\_\_

Firm/Co. Email \_\_\_\_\_

**II. Instructions to Bidders – Terms and Conditions**

The Campbell County Fiscal Court will accept bids for the culvert replacement and beam/wingwalls project until 2:00 PM on March 28, 2022.

**A. Authority**

- 1. This Invitation for Bids is issued in accordance with applicable provisions of the Campbell County Administrative Code Chapter 4 Purchasing and Contracts.
- 2. This is not an order. Please read all instructions, terms, and conditions carefully.

**B. Transmission**

- 1. Bids should be submitted in a sealed envelope addressed to Campbell County Finance, 1098 Monmouth Street, Suite 322, Newport, KY 41071 or the front reception desk.
- 2. The envelope must be clearly marked with the bid title.
- 3. Bids will be received at the CCFC Finance department in Suite 322 until the date and time specified.

4. Late bids, those not clearly marked, or those that do not follow the instructions will not be accepted.
5. Campbell County Fiscal Court will not be held responsible for any premature opening or failure to open any bid not properly addressed and identified as stated above.

### **C. Instructions**

1. Bid forms must be signed in **BLUE** ink.
2. One original and one copy of the bid must be submitted. Keep a copy of the bid for your records.
3. A list of qualifications and a minimum of three reference are required. Please refer to Section VII.
4. Bidders should verify their bids before submission. Errors in preparing the submission confers no right of withdrawal or modification after open.
5. Bidders are responsible for all costs associated with the preparation of response to the invitation for bids. Campbell County is not liable for any costs incurred by bidders in their response to this request.
6. A pre-bid or pre-award visit to bidder may be required at the discretion of the Owner.

### **D. Pricing**

1. Firm prices are required.
2. All prices quoted must be F.O.B. destination shown in shipping instructions on specification.
3. Insert time discounts, if any. Time discounts will not be a factor in award determination.
4. Quotations must be submitted on the bid price sheet indicating unit price, total extension of each item, and grand total of bid.
5. Unit prices should be based on the bid specification instructions. Please direct questions to the County at 859-547-1825 prior to submitting a bid.
6. Trade discounts must be deducted by the vendor in calculating the unit price. The unit price must be net.

### **E. Warranty**

The selected Bidder shall provide warranty on any services and materials found to be defective or faulty due to imperfect or bad workmanship/materials within one year from the date of completion at no additional cost to the County. This warranty does not change or void any warranties expressed or implied to which the purchase is subject.

### **F. Regulatory Compliance**

1. Pursuant to the provisions of KRS 45A.343, the contractor or vendor is required to reveal to Campbell County Fiscal Court any final determination of a violation of KRS Chapters 136, 139, 141, 337, 338 and 342 by the contractor or vendor within the previous five (5) years; and further that said contractor or vendor has been and is in continuous compliance with the provisions of KRS Chapters 136, 139, 141, 337, 341, and 342 for the duration of the contract. The failure of a contractor or vendor to reveal a final determination of a violation to a local government, or to comply with the statutory requirements, is considered grounds for cancellation of a contract and disqualification of the contractor or vendor from eligibility for any Campbell County Fiscal Court contracts for a period of two (2) years.
2. All bidders must possess all federal, state, and local qualifications licenses to provide products or services in Campbell County, the Commonwealth of Kentucky, and the United States. ***Please note: Insurance requirements, as indicated in the bid specifications must be met by the awarded bidder.***
  - a. Including, but not limited to

- i. Business license issued by the Occupational License Department of Campbell County
  - ii. Hazardous Communication Program (OSHA)
  - iii. Workers' Compensation Certificate
  - iv. Liability Insurance
  - v. Listed on current KYTC list of pre-approved bidders.
  - vi. **MUST be prequalified with the Kentucky Transportation Cabinet (KYTC) for the following work item: Bridges Not More than 70 ft Clear Span (E1) and shall have experience installing culverts similar in size.**
3. Successful bidder shall comply with the Kentucky Human Rights Act, HRA 344.150-344.270 as amended and any rules and regulations promulgated therewith, including, but not limited to the Equal Employment Opportunity EEO 45.550 – 45.640 which is incorporated herein by reference. Furthermore, the successful bidder shall comply with the Employment Discrimination Act, EDA 344.040, 344.050 as amended.
4. The scoring of this bid is subject to reciprocal preference for Kentucky resident bidders. Bidders not claiming resident bidder status need not submit the corresponding affidavit.

#### **G. Bonds**

1. Bid bonds, performance bonds, and payment bonds as prescribed by KRS 45A.430, 435, 440 are required for any bids/proposals that exceed \$99,999. Each bid, or the combination of submitted bids, over \$99,999 must be accompanied by a 10% bid bond of the grand total of the bid. The bid bond of the successful bidder will be retained until a performance bond has been executed and approved, after which the bid bond will be returned. The successful bidder will be required to post a performance bond in the amount of 100% of the bid if awarded a contract over \$99,999. Bonding and surety requirements may vary by project/commodity. Please contact Owner for more information.

#### **H. Reserved Rights**

1. Campbell County Fiscal Court reserves the right to reject any or all bids, including without limitations the right to reject any or all nonconforming, non-responsive, incomplete, unbalanced, or conditional bids, to waive formalities, and to reject the bid of any Bidder if CCFC believes that it would not be in the best interest of Campbell County Fiscal Court to make an award to that Bidder. CCFC also reserves the right to negotiate with the apparent qualified Bidder to such an extent as may be determined by Campbell County Fiscal Court.
2. CCFC reserves the right of renewal for any service and maintenance contracts that may be needed for a minimum of two (2) one (1) year periods.
3. In the event the successful bidder fails to commence substantial work on the project within thirty (30) days and the County does not waive this requirement, the County shall have the option to reject the bid and to void the contract, and in such event to either accept the next lowest and best bidder or to negotiate with the best qualified bidder
4. In the event the successful bidder fails to complete the project by the completion date and the County has not waived this requirement in writing, the County shall receive from the bidder (or withhold from the bidder, at its option) liquidated damages of 1% per project per calendar day.
5. All the terms and conditions of these instructions to bidders and the specifications for this project shall constitute, the part of, and incorporate into, the contract between the County and the successful bidder.

**I. Award**

It is the intent of Campbell County Fiscal Court to award a contract to the lowest responsible bidder meeting specifications. CCFC reserves the right to determine the lowest responsible bid/offer in any way determined to be in the best interests of Campbell County. Award will be based on the following factors (where applicable): (a) adherence to all conditions and requirements of the bid/proposal specifications; (b) price; (c) qualifications of the bidder, including past performance, financial responsibility, general reputation, experience, service capabilities, and facilities; (d) delivery or completion date; (e) product appearance, workmanship, finish, taste, feel, overall quality, and results of product testing; (f) maintenance costs and warranty provisions; and (g) repurchase or residual value.

**CAMPBELL COUNTY FISCAL COURT  
ROAD DEPARTMENT  
LEGAL NOTICE**

Sealed proposals will be received at the Finance Office, Campbell County Administration Building, 1098 Monmouth Street, Suite 322, Newport, Kentucky 41071, until 2:00 PM local time on MARCH 28, 2022, for furnishing all labor, materials, and equipment necessary to complete project known as:

**ELR 74 – DODSWORTH LANE  
KYTC 019C00005N20 – LOWER TUG FORK  
COMPLETION DATE: OCTOBER 5, 2022**

Bid opening will be held in the Fiscal Court Chambers at 1098 Monmouth Street. Bid tabulation results will be available publicly on the Campbell County website.

Bids must be in accordance with drawings and specifications and on forms available from CT Consultants, Inc. at a non-refundable cost of Fifty-Five Dollars (\$55.00) mailed. Documents may be ordered by registering and paying for the documents online at [bids.ctconsultants.com](http://bids.ctconsultants.com). Please contact [is@ctconsultants.com](mailto:is@ctconsultants.com) or call 440-530-2350 if you encounter any problems registering or paying for the documents.

The bid specifications, drawings, planholders list, addenda, and other bid information, with exception of Bid Forms, may be viewed and/or downloaded for free via the internet at [bids.ctconsultants.com](http://bids.ctconsultants.com). The bidder shall be responsible to check for Addenda and obtain same from the web site.

Each bidder is required to submit with his proposal a bid bond in the amount of ten percent (10%) of the base bid. The bidder to whom the contract is awarded will be required to furnish a surety bond in an amount equal to one-hundred percent (100%) of the contract amount. Bid security furnished in Bond form shall be issued by a Surety Company or Corporation licensed in the State of Kentucky to provide said surety.

The successful bidder will be required to have a current occupational license in Campbell County before the Contract will be awarded and must be prequalified with the Kentucky Transportation Cabinet (KYTC) for the following work items: Bridges Not More than 70 ft. Clear Span (E1) and experience installing culverts similar to size.

The County reserves the right to waive irregularities and to reject any or all bids.

The County shall authorize acceptance of the bid made by the responsible bidder who, in County's judgment, offers the best and most responsive proposal, considering quality, service, performance record, and price; or County may direct the rejection of all bids. The County may award based on "functional equivalence" concerning specified work or products.

Publishing Date: **CAMPBELL COUNTY WEBSITE – TUESDAY, MARCH 8, 2022**

### **III. Bid Specifications**

In general, unless specifically set forth herein, the work, materials, and methods of measurement and payment shall conform to the applicable divisions and paragraphs (as noted on the Bid Proposal or in the plans) of the most current edition of the:

**Commonwealth of Kentucky  
Transportation Cabinet  
Department of Highways, Frankfort**

Standard Specifications  
For Road and Bridge Construction

#### **DEFINITIONS OF TERMS**

Whenever the term “Bidder” occurs, it shall mean any person, firm or corporation as a Prime Contractor who submits a proposal/bid for the Project, either acting directly or through a duly authorized representative.

Whenever the term “Bid Packet” occurs, it shall mean all the documents contained herein and any addenda thereto.

Whenever the term “County” or “Owner” occurs, it shall mean Campbell County. The County Administrator, or their designee, shall be the representative for the Owner.

Whenever the term “Contract” occurs, it shall mean the written agreement between the Owner and the Contractor covering the performance of the Work on the Project and the furnishing of labor and/or materials in the construction of the Work on the Project, including the Contract Documents.

“Contract Documents” shall mean these Instructions to Bidders and General Conditions, the Specifications, any Drawings and/or Plans, the Contract Bond and all other forms or certificates required by these Instructions, all forms included with the Contractor’s Bid, all the material contained in this Bid Packet, any Change Orders, and all addenda to any of the aforementioned items. The Contract Documents shall be a part of the Contract as if fully rewritten therein.

Whenever the term “Contractor” occurs, it shall mean a person, firm or corporation contracting with the Owner as a Prime Contractor to supply labor, materials, or equipment or all for the Project.

Whenever the term “Construction Manager” or “Engineer” occurs, it shall mean CT CONSULTANTS, Inc., or agent so designated by the Owner to act as the Owner’s agent.

Whenever the term “Director” occurs, it shall mean the Owner, or the Owner’s agent.

Whenever the term “Project” occurs, it shall mean the entire public improvement proposed by the Owner to be constructed in part or in whole pursuant to the Contract.

Whenever the term “Proposal or “Bid” occurs, it shall mean the offer of the Bidder to perform the Work on the Project, when said offer is made out and submitted on the prescribed forms, properly signed and guaranteed, and in the prescribed manner.

Whenever the term "Subcontractor" occurs, it shall mean a person, firm, or corporation other than the Prime Contractor supplying labor and materials for the Work to the Contractor and under the control of the Contractor.

Whenever the term "the Work" occurs, it shall mean the Work to be performed in constructing and completing the Project, including all labor, materials and equipment.

### **GENERAL PROVISIONS**

The most current State of Kentucky, Transportation Cabinet, Department of Highways (KYTC) Standard Specifications for Road and Bridge Construction, as specifically set forth herein, are adopted and made part of these Contract Documents, unless specifically excluded herein.

All references to the Director, the Director of Highways, the First Assistant Director, and Chief Engineer, the Deputy Director of Design and Construction, the Deputy Director of Operations, the Engineer of Construction, the Engineer of Maintenance, the Engineer of Bridges, the Engineer of Tests, shall be considered to read the Engineer.

All references to the State, the State of Kentucky, the Cabinet, or the Transportation Cabinet shall be considered to read the Owner.

### **EXAMINATION OF PLANS, SPECIFICATIONS, GENERAL PROVISIONS AND SITE**

The Bidder is expected to examine carefully the site of the proposed Work, the proposal, plans, specifications, contract documents, general provisions, and addenda, before submitting a proposal. The submission of a bid shall be considered evidence that the Bidder has made such examination and is satisfied as to the conditions to be encountered in performing the Work and as to the requirements of the plans, specifications, general conditions, special provisions, addenda and Contract.

Subject to public safety and convenience, prospective Bidders will be permitted to explore the site of the Work by boring or test pits, permits for which will be issued by the Engineer. Explorations shall be at the sole risk and expense to the Bidder and under conditions of safety, maintaining traffic, and restoring all areas disturbed by any and all explorations to conditions equal to, or better than, the condition prior to exploration.

The Owner does not make any representation of soil or foundation conditions or materials, nor does it represent that drawings may not be modified to meet changes in soil conditions encountered as the Work progresses. The Contractor must inspect the site of the proposed Work and must assume all risk as to the nature and behavior of the material which may be encountered in excavation, whether apparent on the surface or disclosed only in the course of the Work.

### **INTERPRETATION OF QUANTITIES IN PROPOSAL**

The quantities appearing in the proposal are approximate only and are prepared for the comparison of bids. Payment to the Contractor will be made only for the actual quantities of Work performed and accepted or materials furnished and accepted in accordance with the Contract except for lump sum contracts and except for lump sum items in unit price contracts. The scheduled quantities of Work to be done and materials to be furnished may be increased, decreased, or omitted as hereinafter provided.

## **"OR APPROVED EQUAL" ITEMS**

In the preparation of these documents and plans, several proprietary products may have been specified. In all such cases, it is to be understood that the Contractor may offer a substitute for the specified product, as indicated by "Or Approved Equal". However, the Contractor must be aware that, before commencement of construction, he must provide information to the Engineer concerning the substituted product, and that the Engineer must approve in writing the offered product as being equal to the specified product before use or incorporation in the Work.

Unless otherwise modified by the Engineer, proprietary products are to be installed and/or constructed in strict compliance with the pertinent manufacturer's specifications.

## **ADDENDA**

The Contractor shall notify the Engineer promptly of any discrepancies in, or omissions from the Contract Documents. The Engineer will issue a clarifying addendum to each person on record as having received a set of Contract Documents. The Owner will not be responsible for oral instruction or information. Questions received less than five (5) days prior to bid date cannot be answered. Any Addenda issued during the bidding period are to be included in the Proposal and shall become a part thereof.

## **ALTERNATE BID**

It is the Owner's intent for the Contractor to bid on both the base and alternate bid items. Upon award of the Contract, the Owner will inform the Contractor, in writing, if the standard bid or alternate bid items will be included with the Project.

**THE OWNER RESERVES THE RIGHT TO AWARD OR DELETE ANY OR ALL COMBINATIONS.**

## **GENERAL CONDITIONS**

The successful Bidder/Contractor shall be responsible for all site operations related to the Work as shown and described in the Specifications, Plans and related General Provisions, and shall meet all requirements of these Instructions, General Provisions, and Specifications. All Work shall be completed in compliance with these Instructions, the General Provisions, Specifications, Plans and other Contract Documents.

## **SUBCONTRACTS**

The Bidder shall submit, with his bid, a complete list of the names and addresses of any subcontractors he contemplates for use on the Project. In addition, the Owner requires that the Bidder furnish a list of subcontractor references of all persons, corporations, political subdivisions or firms for whom the proposed subcontractor has done the type of Work proposed under contract between the Contractor and the subcontractor within the last two (2) years.

The subcontractor must be acceptable to the Owner and approved by the Owner, in writing, prior to the execution of the Contract. Although such approval shall not be arbitrarily withheld, subcontractors that have proven unsatisfactory in the past or do not have adequate manpower or resources to perform the Work will not be accepted. Only subcontractors who have sufficient experience; ample equipment and personnel; adequate financial resources or the ability to obtain such resources; who are able to comply with the required performance schedule for the Work; who have a satisfactory record of integrity; who have a satisfactory record or performance (Bidders who are delinquent in current contract performance, when the number and the extent of the



delinquencies of each are considered, shall presumed to be unable to fulfill this requirement, in the absence of evidence to the contrary or circumstances properly explained); and who are otherwise qualified and eligible to receive an award under applicable Kentucky laws and regulations, shall be considered.

The subcontractor must submit a non-collusion affidavit prior to the execution of the Contract.

Approval of the proposed subcontractor(s) will not be given by the Owner unless and until the above requirements are met. Although the Bidder is not required to submit the required Subcontractor forms, filled out by any proposed subcontractors, with its bid, the Bidder is hereby advised of these requirements so that appropriate action can be taken to prevent subsequent delays in subcontract awards and/or the execution of the Contract.

After the Subcontractors have been approved and the Contract signed, no changes in the subcontractors shall be made without the prior written approval of the Owner.

### **PREPARATION OF PROPOSAL**

The Bidder shall submit their Proposal upon the forms furnished by the Owner. All blank spaces for bid prices must be filled in, in words or figures, and shall be written in ink or typewritten. The Bidder shall initialize any corrections or changes in the Proposal.

The Bidder's Proposal must be signed in ink by the individual, by one or more members of the partnership, or by one or more officers of a corporation, or by an agent of the Bidder legally qualified and acceptable to the Owner. If the Proposal is made by an individual, his name and business address must be shown; by a partnership, the name and business address of each partnership member must be shown; by a corporation, the name of the state under the laws of which the corporation is chartered and the name and title of the officer or officers having authority under the bylaws to sign contracts, the name of the corporation and the business address of its corporate officials must be shown.

A foreign corporation submitting a Proposal must comply with the laws of doing business in the State of Kentucky, if its Proposal or any part thereof is accepted.

To be considered responsive, each bid shall consist of the following, fully executed:

1. Bidder Information Sheet
2. Bid Proposal
3. Bid Guaranty and Contract Bond
4. Acknowledgement of Addenda, if applicable
5. Days for Completion
6. Certificate as to Interest
7. Statement of Bidder's Qualifications and References, if applicable
8. List of Subcontractors Names and Addresses, if applicable

The Bidder's attention is directed to all applicable Federal, State, and local laws, and the rules and regulations of all authorities and agencies having jurisdiction over the Work. All such laws, rules, and regulations shall apply to the Contract and every aspect of the Work and shall be deemed included as a part of the Contract as if the same were fully written therein.

The attention of Bidders is especially directed to the following:

1. Federal and Civil Rights Law regulating Equal Opportunity Employment

2. Bid Guaranty and Contract Bond requirements
3. Statutory requirements of the State of Kentucky relative to licensing of corporations organized under the laws of any other state
4. Federal or State of Kentucky Prevailing Wage Law

The price or prices shown on the proposal shall include all costs associated with the Work (including labor), shall be the actual price(s) to be paid by the Owner, and shall include all discounts, allowances, etc. Each Bidder shall bid on each item listed in the Proposal

Where a discrepancy appears between the sum shown in the "Total" column and the correct product of the sums appearing in the "Estimated Quantity" and "Unit Price" columns, the correct product of the sums appearing in the "Estimated Quantity" and "Unit Price" columns shall control.

#### **MATERIAL GUARANTY**

Before the Contract is awarded, the Bidder may be required to furnish a complete statement of the origin, composition and manufacturer of any or all materials to be used in the construction of the Work together with samples, of which said samples may be subjected to any tests the Owner, in its sole discretion, deems appropriate to determine their quality and fitness for the Work.

#### **NOTICE OF AWARD**

The award of the Contract, if it be awarded, will be made to the best overall Bidder whose Proposal complies with all the requirements prescribed. In no case will an award be made until all necessary investigations are made as to the responsibility of the Bidder to whom it is proposed to award the Contract. The successful Bidder will be notified by letter, mailed to the address shown on his Proposal that his bid has been accepted and that he has been awarded the Contract.

#### **DOCUMENTS REQUIRED PRIOR TO SIGNING OF CONTRACT**

Immediately upon the award of the bid and prior to the signing of the Contract, the Contractor shall furnish to the Owner:

1. Kentucky Workers' Compensation Certificate.
2. Credentials showing the Power of Attorney of the Agent of the Surety
3. A Certificate of Compliance issued by the Division of Insurance showing the right of the bonding company to do business in the State of Kentucky.
4. A Certificate of Insurance with coverage as specified in these Instructions, covering the period of time the Work will be in progress.
5. Listing of selected subcontractor(s) for Owner approval, in accordance with the second paragraph under "Subcontracts" of these Instructions.

## **CONTRACT GUARANTY**

If the Contractor submitted a Bid Guaranty Bond in the form prescribed by the KTC Standard Specifications for Road and Bridge Construction Section 102.09 for the full amount of its bid, the same shall be retained by the Owner as the Contractor's Performance Bond.

If the Contractor submitted a cashier's check, certified check or letter of credit, the Contractor, at the time the Contract is entered into, shall furnish a Performance Bond, in the form prescribed by KTC Standard Specifications for Road and Bridge Construction Section 102.09, payable to the Owner, for the total amount of the Contract. Said Bond shall be duly executed by the Contractor, as principal, and by a surety company qualified to do business under the laws of the State of Kentucky and satisfactory to the Owner, as surety, for the faithful performance of the Contract and payment for labor and materials. The Bond must be signed by an Authorized Agent of an acceptable surety bonding company and by the Contractor. The Performance Bond must be countersigned by a resident agent of the bonding company and its corporate seals must be affixed to all copies. The name and address of both the surety and surety's agent must appear on Bond, and it must be supported by credentials showing the Power of Attorney of the surety's agent.

The premiums of such Bonds shall be paid by the Contractor.

If the Contractor fails to perform under the Contract, the Performance Bond may be forfeited by the Contractor to the Owner, in accordance with the termination provisions contained herein.

## **INSURANCE**

The Contractor shall not commence Work under the Contract until it has obtained all insurance required under this paragraph. The policies shall also protect the Owner, its officers, agents, and employees as additional insured, and shall be in a form approved by the Owner. Certified copies of the insurance policies, fully executed by officers of the insurance company, shall be submitted with the executed Contract and must be submitted before the Notice to Proceed will be sent. Coverage will be provided through insurance companies licensed to do business in the State of Kentucky.

During the term of the Contract, the Contractor will agree to provide evidence of insurance in the amounts stated below. The Contractor may also be required to submit the original insurance policies for inspection and approval of the Owner before Work is commenced. Said policies shall provide that they cannot be cancelled, permitted to expire, or be changed without fifteen (15) days advanced written notice to the Owner. The Contractor shall provide all insurance required by this Contract.

**Required Insurance:** The Contractor shall take out, and maintain during the life of the Contract, Comprehensive General Liability Insurance, Automobile Liability Insurance and an Excess Liability Umbrella Form. Such policies shall protect the Contractor and the Owner from any and all claims or damages for bodily injury, including accidental death, as well as any and all claims for property damage, during the performance of any and all Work under the Contract, whether such performance be by the Contractor, any subcontractor, or by anyone directly or indirectly employed by either of them or in any such manner as would impose liability on the Owner. The **minimum** required limits for each type of policy are as follows:

- |    |                                  |                            |
|----|----------------------------------|----------------------------|
| A. | Comprehensive General Liability: |                            |
|    | (1) General Aggregate            | \$1,000,000                |
|    | (2) Bodily Injury/Wrongful Death | \$1,000,000 per person     |
|    |                                  | \$1,000,000 per occurrence |

(3)	Property Damage*	\$2,000,000 aggregate liability \$1,000,000 per occurrence \$2,000,000 aggregate liability
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B. Comprehensive Automobile Liability:

(1)	Bodily Injury/Wrongful Death	\$1,000,000 per occurrence \$1,000,000 aggregate liability
(2)	Property Damage*	\$1,000,000 per occurrence \$1,000,000 aggregate liability

\*Including any damage caused by blasting or underground excavation.

C. Excess Liability Umbrella Form:

(1)	General Aggregate	\$3,000,000
(2)	Each Occurrence	\$1,000,000

Owner's Protective Liability Insurance: The Contractor shall take out, and maintain during the life of the Contract, an Owner's Protective Liability Insurance policy in the name of the Owner. The primary insurance policy shall not be less than a minimum combined single limit of \$1,000,000. In addition, a \$1,000,000 excess policy will be required. The policy shall protect the Owner from any claims or damages that may arise out of or result from the performance of any Work or from any operations, either directly or indirectly, by the Contractor or its subcontractors under the Contract.

Subcontractor's Insurance: The Contractor shall require subcontractors not protected under the Contractor's insurance policies to take out and maintain insurance of the same nature and kind and in the same amounts as required of the Contractor.

Workers' Compensation Insurance: Before any Work is commenced, the Contractor shall take out, and maintain during the life of the Contract, Workers' Compensation Insurance for all of its employees, in accordance with the laws of the State of Kentucky. In case any Work is subcontracted, the Contractor shall require the subcontractor similarly to provide Workers' Compensation Insurance for all of the latter's employees, unless such employees are covered by the protection afforded by the Contractor. In case any class of employees engaged in Work under this Contract is not protected under the Workers' Compensation statute, the Contractor shall provide Employee Liability Insurance for any such employees, and shall provide or cause each subcontractor to provide the same.

**COORDINATION OF SPECIFICATIONS, PLANS, AND GENERAL PROVISIONS**

The specifications, the supplemental specifications, the plans, general provisions, and all supplementary documents are essential parts of the Contract, and a requirement occurring in one is as binding as though occurring in all. They are intended to be complementary to each other and to describe and provide for a complete project. In case of discrepancy, calculated dimensions will govern over scaled dimensions, plans will govern over specifications, proposals and special provisions will govern over both specifications and plans.

The Contractor shall take no advantage of any apparent error or omission in the plans or specifications. In the event the Contractor discovers such an error or omission, he shall immediately notify the Engineer. The Engineer will then make such corrections and interpretations as may be deemed necessary for fulfilling the intent of the plans and specifications. See "Extra Work" and "Modification of Contract or Change Orders".

All items salvaged as part of this Contract shall be removed by the Contractor without damage as excavation and work progresses, and placed within the right-of-way where they may be conveniently picked up by the Owner's forces. Old materials not reserved by the Owner and not being used in the work will become property of and must be disposed of by the Contractor.

## **PLANS**

If applicable, the plans illustrate the general character and scope of the Work covered by the Specifications and Contract Documents. Additional detailed drawings and other information deemed necessary by the Engineer will be furnished to the Contractor when and as required by the Work. Shop drawings, when approved by the Engineer, shall govern all details of the Work and shall take precedence over all other drawings. Figured dimensions on drawings shall take precedence over general drawings and shall be considered as explanatory and not as indicating extra work.

## **NOTICE TO PROCEED**

Once the Contract has been entered into and the preconstruction conference held, the Owner will provide the Contractor a Notice to Proceed. Said Notice shall state the beginning date the Contractor shall commence the Work and the date by which the Work is to be completed.

## **PUBLIC CONVENIENCE AND SAFETY**

The Contractor shall at all times so conduct his work as to assure the least possible obstruction to traffic. The safety and convenience of the general public and the residents along the highway and the protection of persons and property shall be provided for by the Contractor as specified under subsection Maintenance of Traffic.

The Contractor shall provide and maintain safeguards, safety devices and protective equipment and take any other needed actions as may be necessary to protect the public and property in connection with the work. The Contractor shall notify the Chiefs of the Police Department and Fire Department of the temporary blocking of any street.

The presence of barricades, lights or other traffic control devices provided and maintained by any party other than the Contractor, shall not relieve the Contractor of this responsibility.

## **MAINTENANCE OF TRAFFIC**

The Contractor shall be permitted to close and barricade Lower Tug Fork and Dodsworth Lane during construction of the perspective improvements. Work shall only occur on one street at a time and prior to the Contractor moving onto the next street, all work shall be completed on the prior street. Detour routes per plan shall be signed per the MUTCD and approved by the Owner.

## **PROTECTION AND RESTORATION OF PROPERTY**

The Contractor shall be responsible for the preservation of all public and private property. The Contractor shall be responsible for all damage or injury to property of any character, during the prosecution of the work, resulting from any act, omission, neglect or misconduct in his manner or method of executing the work, or at any time due to

defective work or materials, and said responsibility will not be released until the project shall have been completed and accepted.

**Dust nuisance originating from any work shall be controlled by the Contractor at the sole expense of the Contractor.**

When and where any direct or indirect damage or injury is done to public or private property by or on account of any act, omission, neglect, or misconduct in the execution of the work, or in consequence of the nonexecution thereof by the Contractor, he shall restore, at his own expense, such property to a condition equal or better to that existing before such damage or injury was done, by repairing, rebuilding or otherwise restoring as may be directed, or he shall make good such damage or injury in an acceptable manner.

When mailboxes, road or street name signs and supports interfere with construction, the Contractor shall remove and erect them in a temporary location during construction in a manner satisfactory to and as directed by the Engineer. After completion of the construction and before final acceptance of the project, the Contractor shall erect the mailboxes, road or street name signs and supports in a permanent location in accordance with the plans unless otherwise directed by the Engineer. This shall be considered a subsidiary obligation of the Contractor under the affected items. The Contractor shall cooperate with the Engineer in protecting and preserving cornerstones and monuments that are within the work area. Monuments, cornerstones and land markers unexpectedly encountered shall be protected, referenced and preserved in the same manner.

**CLEAN-UP DURING CONSTRUCTION**

The Contractor shall at all times maintain the job site and working areas in an orderly condition, reasonably clean and free of accumulations of dirt and debris. If the Contractor fails to maintain the job site and working area in a satisfactory condition, the Owner shall have the right to employ others to do so at the Contractor's expense, commencing 24 hours after the Contractor has been notified that the job site and/or working areas require clean-up.

**FINAL CLEAN-UP**

As soon as portions of the work are ready for use, they shall be thoroughly cleaned by the Contractor of all dirt and rubbish, and cleared of all materials, forms, falsework, temporary structures and equipment.

The Contractor shall also clean out all sewer drains, inlets, manholes, and other underground lines and structures affected by his work and restore all disturbed areas to their original or better condition.

**FINAL INSPECTION**

When the work has been entirely completed and final cleanup has been performed, the Engineer will inspect the improvement. If items remain which must be completed or remedied by the Contractor, he shall perform the work immediately upon being notified by the Engineer. When such items have been corrected by the Contractor, final inspection will be made. The work must pass final inspection before it will be accepted by the Owner.

**UTILITIES**

Any utility, such as telephone, electricity or water, required by the Contractor for the performance of the Work shall be the responsibility of the Contractor, who shall be responsible for the cost of the same.

## **SEWAGE, SURFACE, AND FLOOD FLOWS**

The Contractor shall furnish all the necessary equipment, shall take all necessary precautions, and shall assume the entire cost of handling and properly disposing of any sewage, seepage, storm, surface, flood or underground flows which may be encountered at any time during the performance of the Work. The manner of providing for these flows shall meet with the approval of the Engineer, and the entire cost of same shall be included in the unit prices stipulated for the various items of the Work. As applicable, all work must comply with the municipality or County storm water regulations.

## **USE OF EXISTING FACILITIES**

The Owner, upon written notice to and with the approval of the Contractor, shall have the right to connect any sewers, conduit, or pipeline with any existing similar facilities or appurtenances, or to grant permits to make connections therewith at any time before the Work is completed. The Contractor shall not interfere with any such connections and no extra compensation shall be made to the Contractor on account thereof. The performance of the Work shall be planned in such a manner as to allow the use of all existing facilities during the construction period.

## **UNDERGROUND UTILITY FACILITIES/COOPERATION WITH UTILITIES**

The Owner will notify all utility companies, all pipeline owners, or other parties affected and endeavor to have all necessary adjustments of the public or private utility fixtures, pipelines, and other appurtenances within or adjacent to the limits of construction made as soon as practicable.

Within ten (10) days of the award of the Contract, the Owner shall notify all utilities of the name, address, and phone number of the Contractor. The Contractor shall notify the Registered Underground Utility Protection Service and nonmember owners of the starting date at least two (2) working days prior to starting the Work. The utility shall mark, stake, or otherwise designate the location of the underground facilities within 48 hours of receiving the Contractor's notice of the starting date. The marking or locating shall be coordinated to stay approximately two (2) days ahead of the planned construction.

The identification of underground facilities, any necessary relocation thereof, and the protection of the same shall be undertaken in conformance with KTC Standards and Specifications for Road and Bridge Construction Section 107.15. At least two (2) working days prior to commencing Work in an area that may involve underground utility facilities, as shown on the plans, the Contractor shall notify the Engineer, the registered utility protection service, and the owners of the underground utility facility who are not members of the registered utility service.

The existing underground utilities are shown as accurately as possible on the plans, based on the information available. The Owner and/or Engineer do not assume any liability for location of underground service lines. Any utility services damaged that were previously marked in the field shall be replaced at the Contractor's expense.

Where the plans provide for conduit to be connected to, or to cross either over or under, or close to an existing underground structure, it shall be the responsibility of the Contractor to locate the existing structure, both as to line and grade, before starting to lay the proposed conduit, in order to assure compatibility with line and grade of the conduit. Payment for all such operations shall be included in the unit price bid for the pertinent conduit item.

The Contractor shall make arrangements with the utility company if adjustments to proposed grade of existing facilities (e.g. manholes, catch basins, valves, boxes, etc.) are to be made prior to the commencement of any

paving operations. This shall include utility facilities not shown on the plans but that are located within the pavement area. Work performed on utility facilities shall be in strict accordance with the specifications of the applicable utility company and shall be performed under the direction, supervision and inspection of said company.

At points where the Contractor's operations are adjacent to properties of telephone and power companies, or are adjacent to other property, damage to which might result in considerable expense, loss, or inconvenience, work shall not be commenced until all arrangements necessary for the protection thereof have been made.

The Contractor shall cooperate with the owner of any underground or overhead utility lines in their removal and rearrangement operations in order that these operations may progress in a reasonable manner, that duplication of rearrangement work may be reduced to a minimum, and that services rendered by those parties will not be unnecessarily interrupted.

In the event of interruption to underground or overhead utility services as a result of accidental breakage or as a result of being exposed or unsupported, the Contractor shall immediately alert the occupants of nearby premises as to any emergency that the Contractor may create or discover at or near such premises. The Contractor shall then notify the Engineer and the owner or operator of the utility facility of the disruption and shall cooperate with said utility owner or operator in the restoration of service. If water service is interrupted, repair work shall be continuous until the service is restored. No work shall be undertaken around fire hydrants until the local fire authority has approved provisions for continued service.

#### **MAINTENANCE DURING CONSTRUCTION**

The Contractor shall maintain the work during construction and until the project is accepted. This maintenance shall constitute continuous and effective work prosecuted day by day, with adequate equipment and forces to the end that the roadway or structures are kept in satisfactory condition at all times.

In the case of a contract for the placing of a pavement course upon other pavement courses or a subgrade previously constructed, the Contractor shall maintain the previous pavement course or subgrade during all construction operations.

#### **FAILURE TO MAINTAIN ROADWAY OR STRUCTURE**

If the Contractor, at any time, fails to comply with the provisions of the above-reference section, the Engineer will immediately notify the Contractor of such non-compliance. If the Contractor fails to remedy unsatisfactory maintenance within 24 hours after receipt of such notice, the Engineer may immediately proceed to maintain the Project and the entire cost of this maintenance will be deducted from monies due or to become due to the Contractor on his Contract.

**CONTRACTOR TO MONITOR WEATHER WHILE WORKING ON DODSWORTH ROAD. DODSWORTH HAS HISTORICALLY FLOODED AT THE NORTH END. CONTRACTOR TO MONITOR WEATHER / OHIO RIVER LEVEL AND BE PREPARED TO COORDINATE WITH THE COUNTY AND RESIDENTS TO PROVIDE ACCESS IN THE EVENT OF FLOODING.**



## **PROTECTION OF EXISTING STRUCTURES**

It shall be the responsibility of the Contractor to perform the Work in such a manner as not to damage or destroy any existing feature which is not marked for replacement or removal. The Contractor shall, at its own expense, protect and maintain any bridges, curbs, gutters, sidewalks, roadways, or any other private or public structures that may be endangered in the prosecution of the Work. The Contractor shall also exercise due care during the Work so as not to destroy any trees, plants, shrubs, or structures not specifically marked for removal or relocation within the area of the Project site. The Contractor hereby agrees to repair and make good any damages caused to any such property by reason of its prosecution of the Work.

In some instances, the Contractor will be required to excavate under and around existing utilities. The Contractor shall exercise extreme care so as not to damage the utility during the Work.

The Contractor shall schedule his operations so that the improved areas have had sufficient time to cure, set and/or harden before the area is opened to traffic or other use. The Contractor shall be responsible for the immediate repair of all improved areas if damage is done by traffic or other use. The Contractor shall also be responsible for the immediate rectification of problems created in areas outside of the improved areas, which are attributable to the failure of the improved area, such as, but not limited to, the tracking of materials into unimproved areas.

The Contractor shall be responsible for the protection of areas outside of the limits of the designated Project site, but which are adjacent to those limits. This will include those areas used by construction traffic for access to and from the Project site. Where the Engineer and/or the Owner determine that the Contractor's operations have been responsible for damage to areas outside of the Project site limits, the Contractor shall be responsible for the repair of the area, subject to the approval of the Engineer. No additional compensation will be due the Contractor for any such repairs.

## **MONUMENTS AND LANDMARKS**

The Contractor shall not remove, relocate or in any way damage any monuments, survey pins or landmarks without the approval of the Engineer. Any monument, survey pin or landmark so removed without approval of the Engineer may be replaced by the Owner and the expense of the survey and replacement charged to the Contractor.

The Contractor shall carefully preserve all base lines and benchmarks which have been set by the Owner or its agent. The Contractor shall be charged with the expense of resetting any base lines or benchmarks caused by the loss or disturbance of such by the Contractor.

## **RESTORATION OF DISTURBED AREAS**

In all cases where the Work requires the restoration of areas with topsoil, seeding and mulching, the Contractor shall not seed and mulch until directed to do so by the Engineer. The Engineer shall not so direct the Contractor until he has assured that the site is properly graded and topsoiled.

Upon completion of the seeding and mulching, the Contractor shall immediately notify the Engineer of the same. Upon receipt of notice from the Engineer that the restoration is complete, the Owner shall notify the property owners of their maintenance duties.

In cases where the Engineer determines the seeding and mulching should not be performed until after the designated completion date for the Work, the Engineer shall notify the Contractor of the same, in writing. Suspension of the seeding and mulching at the direction of the Engineer shall not count against the Contractor as a delay.

### **SUPERVISION OF THE WORK**

The Engineer or upon the authorization of the Engineer, the Owner's Public Works Director, shall in all cases, determine the amount, quality acceptability and fitness of the kinds of labor and material, which are to be paid for under the Contract. The Owner or the Owner's agent shall determine all questions related to the Work and the performance thereof, and decide every question which may arise relative to the fulfillment of the Contract on the part of the Contractor.

The Engineer will evaluate the materials furnished and the labor to be performed under the Contract, and is authorized by the Owner to reject all labor or materials, or any part thereof, that does not comply in kind, quality, quantity, time, place or manner with the Contract or Contract Documents. The approval or acceptance or any part of the Work, or any payment on account thereof, shall not prevent the rejection of said labor or materials at any time thereafter during the term of the Contract, if said labor or materials are found to not be in accordance with the requirements of the Contract or the Contract Documents.

### **DEFECTIVE OR UNACCEPTABLE WORK**

All materials and each part or detail of the Work shall be subject to evaluation by the Engineer. The Engineer shall be allowed access to all parts of the Work and shall be furnished with such information and assistance by the Contractor, as is required to make a complete and detailed review.

Any work done or materials used without direct observation by an authorized representative may be ordered removed and replaced at the Contractor's expense.

All work, which does not conform to the requirements of the Contract, will be considered unacceptable unless otherwise determined acceptable.

Should defective or unacceptable labor or materials be suspected, and the Engineer so require, the Contractor shall uncover, take down or make openings in the finished work for the purpose of examination at such points as the Engineer designates.

If the Work so exposed or examined is satisfactory, the cost of uncovering, taking down or making openings shall be paid by the Owner to the Contractor as a change in Work; however, should the Work thus exposed or examined be unsatisfactory, the cost of uncovering, taking down or making openings shall be borne by the Contractor.

If the exposed or examined labor or materials are found to be unacceptable or defective by the Engineer, he shall serve on the Contractor written notice of his rejection of the unsatisfactory labor or materials, his instructions for remedying the same, and a time within which the defective material or labor is to be remedied. If the Contractor neglects or refuses to remove and/or replace the defective labor or materials within the time limit given, the Owner may remedy the situation and charge the expense thereof to the Contractor. The expense so charges shall be deducted out of the monies due to the Contractor under the Contract. If the amounts still due the Contractor under the Contract are insufficient to meet the expense, the additional monies shall be paid by the Contractor,

and if the Contractor refuses or neglects to pay, the monies shall be paid by his Surety or shall be deducted from its Performance/Contract Bond.

If, in the opinion of the Owner, an emergency arises that jeopardizes the continuity of water service and/or the public health, safety or welfare of the residents of the Owner, the Owner shall give notice of the emergency to the Contractor by telephone or in person. If the Contractor is unable to remedy the situation at the time it exists, the Owner reserves the right to immediately take steps to have the situation remedied. If, in the opinion of the Owner, the emergency was created through the carelessness or recklessness of the Contractor, then the Contractor and its Surety shall be liable to the Owner for all expenses incurred by the Owner in correcting the situation.

### **INTENT OF CONTRACT**

The intent of the Contract is to provide for the construction and completion in every detail of the Work described. The Contractor shall perform all items of work covered and stipulated in the proposal and perform altered and extra work, furnish all labor, materials, equipment, tools, transportation and supplies required to complete the work in accordance with the plans, specifications and terms of the Contract. Should any misunderstanding arise as to the intent or meaning of the plans, specifications, special provisions or proposal, or any discrepancy appear, the decision of the Engineer shall be final and conclusive.

### **MEASUREMENT OF QUANTITIES**

For all contracts, except lump sum contracts, after an item of the Work is completed and before final payment is made, the Engineer will determine the quantities of various items of work performed, as the basis for final settlement. The Contractor, in case of unit price items, will be paid for the actual amount of work performed in accordance with these specifications as provided under the various items.

### **PLANS AND ESTIMATED QUANTITIES**

The Plans and Bid Proposal quantities prepared by the Owner are intended to outline the Work to be done by the Contractor. The estimated quantities shall be used in determining the total amount of the bid and for the purpose of determining the lowest and best bid. It is understood and agreed, however, that the Plan is subject to minor changes from time to time during the progress of the Work, that the estimated quantities listed in the Proposal are approximate only, that the Contractor has no claim for damage and is not entitled to extra pay above and beyond the agreed unit prices on account of increasing or decreasing the quantities, and that in measuring the work for payment to the Contractor, the Owner shall consider only the number, length, area and solid contents of the various items of Work incorporated in the improvement in accordance with the Plans or as ordered placed by the Owner.

### **PRICES**

The Owner will pay to the Contractor the prices herein stipulated as full compensation for everything furnished and work completed by the Contractor under the Contract, including all incidental work required but not specifically mentioned, and for any work arising from any unforeseen obstruction or difficulty encountered in the prosecution of the work, and for all risks of every description connected with the work, and for all expenses

incurred by or in consequence of the suspension or discontinuance of the work, as herein specified and for well and faithfully completing the work, together with remedying all defects developing during the guarantee period.

#### **ALTERATION OF PLANS OR CHARACTER OF WORK**

The Owner reserves the right to make, at any time during the progress of the Work, such increases or decreases in quantities and such alterations in details of construction as may be found to be necessary or desirable. Such increases or decreases and alterations shall not invalidate the Contract nor release the Surety, and the Contractor agrees to perform the Work as altered, as if it had been a part of the original Contract.

Unless such alterations and increases or decreases materially change the character of the work to be performed or the cost thereof, the altered work shall be paid for at the same unit prices as other parts of the Work. No claim shall be made by the Contractor for any loss of anticipated quantities and the quantities of work as done. Payments shall be in accordance with Section 109 of the KTC Standard and Specifications for Road and Bridge Construction. If, however, the character of the Work of the unit costs thereof are materially changed, an allowance shall be made on such basis as may have been agreed to in advance of the performance of the Work, or in case no such basis has been previously agreed upon, then an allowance shall be made, either for or against the Contractor, in such amount as the Owner may determine to be fair and equitable.

Should the Contractor encounter or discover during the progress of Work, subsurface or latent physical conditions at the site differing materially from those indicated in this Contract, or unknown physical conditions at the site of an unusual nature, differing materially from those ordinarily encountered and generally recognized as inherent in work of the character provided for in the Contract, the Engineer shall be promptly notified in writing of such conditions before they are disturbed. The Engineer will thereupon promptly cause the investigation of said conditions, and if they are found to so materially differ and cause an increase or decrease in the cost of, or the time required for performance of the Contract, an equitable adjustment will be made.

Any adjustment in compensation because of a change or changes resulting from one or more of the conditions described in the previous paragraph will be made in accordance with the provisions of Extra Work. Any adjustments in Contract time because of changes will be made in accordance with the provisions in 108.07 of the Kentucky Standard Specification for Road and Bridge Construction.

#### **EXTRA WORK**

Items of work with unit prices included in the estimate of the original Contract, in an amount less than \$10,000 may be authorized as Extra Work by the Engineer.

#### **MODIFICATION OF CONTRACT OR CHANGE ORDERS**

Items of Work not included in the estimate of the original Contract and additional units of items included in the estimate of the original Contract in an amount in excess of \$10,000, may be authorized as a Modification of the Contract or Change Order. Payment shall be in accordance with Sections 109.04 of the Kentucky Standard Specification for Road and Bridge Construction.

The Owner may, when necessary, by ordinance, authorize alterations or modifications in the Specifications and Plans for the Work, or omit from the Work covered by this Contract any portion thereof. Before any such alteration or modification shall be effective, the price to be paid for the Work or the material, or both, under the altered or modified Contract, shall have been agreed upon in writing and signed by the Contractor and by the

Contractual Agent or Agents of the Owner. It is expressly agreed that such changes shall not, in any way, violate or annul the Contract, and the Contractor hereby agrees not to claim or bring suit for any damages, whether for loss of profits or otherwise, on account of these changes. Whenever, during the progress of the Work, any change or modification of the Work is agreed upon, such change shall be considered and treated as though originally contracted for, and shall be subject to all provisions of the original Contract.

The Contractor's Sureties will not be notified of changes in the work or cost thereof, except when by reason of any Change Orders, the total Contract price increases by more than twenty (20%) percent of the original price.

### **DISPUTES AND CONTRACTOR CLAIMS**

In cases where there arises a dispute (whether over payment, claims, or quality of Work) between the Contractor and the Owner, the Contractor shall not cease Work on the Project because of said dispute, unless told to cease work by the Owner. The Contractor shall continue Work on the Project and agrees that such a dispute shall not relieve him from the requirements under "Time of Completion and Damages for Delays".

In all cases, the Contractor shall submit to the Owner any claims for disputed amounts, in writing, within seven (7) calendar days of learning of said dispute. In submitting such claim, the Contractor shall include his actual original calculations and raw cost data, along with his job cost reports and field diaries.

If the Owner makes to the Contractor an offer on a claim which the Contractor refuses, and if the Contractor then gets an amount equal to or less than the Owner's last offer in court, the Contractor shall pay all legal costs, including attorney's fees and expert witness fees, that the Owner incurs from the date of the Owner's last offer until the day the Contractor is awarded judgment.

Any claims or disputes shall be limited by the requirements of "Modification of Contract or Change Order".

### **TIME OF COMPLETION AND DAMAGES FOR DELAYS**

The Project construction time shall commence upon the date indicated in the Notice to Proceed, which shall be sent to the Contractor by the Owner. The Contractor shall agree to commence the Work on the date specified in the written Notice to Proceed, weather permitting, and to fully complete the Work by **October 5, 2022**, unless such time for completion is extended, in writing, by the Owner. However, neither the Contractor nor any subcontractors shall commence any part of the work under the Contract until it has obtained all insurance required, as listed in the General Conditions, and such insurance has been approved by the Owner.

The Contractor agrees that time is of the essence, and therefore, if the Contractor neglects, fails, or refuses to complete the Work within the allotted time, or fails to secure an extension of time for delays, the Contractor does hereby agree to pay to the Owner, as liquidated damages and not as a penalty, the amount as stated in the Contract for each calendar day beyond the completion date stated in the Notice to Proceed, unless the time for completion has been extended in writing by the Owner. Such damages shall be deducted from any monies due and owing to the Contractor under the Contract. If the amounts still due the Contractor under the Contract are insufficient to meet the expense, the additional monies shall be paid by the Contractor, and if the Contractor refuses or neglects to pay, the monies shall be paid by his Surety or shall be deducted from its Performance/Contract Bond.

If the Contract is revised in any material respect and it is determined that said revision will cause delay in the completion of the work, the Engineer will postpone the completion date by the number of calendar days he determines to be equitable.

If the Contractor finds it impossible for reasons beyond his control to complete the work by the date as specified or as extended in accordance with the provisions of this subsection, he may make a written request to the Engineer for an extension of time setting forth therein the reasons which he believes will justify the granting of his request. Requests for extensions of time shall be filed in writing by the Contractor to the Engineer not later than thirty (30) days following the termination of the delay. The Contractor's plea that insufficient time was specified is not a valid reason for extension of time. If the Engineer finds that the work was delayed because of conditions beyond the control and without the fault of the Contractor, he may extend the time for completion in such amount as the conditions justify.

Delays caused by weather or seasonal conditions should be anticipated and will be considered as the basis for an extension of time only when the actual work days lost exceed the number of working days lost each month due to the inclement weather as determined in Section 108 of the Kentucky Standard Specification for Road and Bridge Construction

The extended time for completion shall then be in full force and affect the same as though it were the original time for completion.

If the Owner should suspend the Work in whole or in part, the date for completion shall be extended by the number of days that the suspension directly or indirectly delays the completion of the Work.

If the Work is delayed for unforeseeable causes beyond the control and without the fault of negligence of the Contractor, such as severe or unusual climatic conditions, acts of God, acts of the Owner or interference by other contractors, extensions of time may be granted by the Owner, upon the Contractor's written request for an extension. The Contractor shall, within five (5) days from the beginning of such delay, notify the Owner in writing of the causes of the delay and request an extension. In no case shall such an extension of time exceed the time actually lost to the Contractor by reason of such delay or interference.

The Owner, reserves the right to suspend the whole or any part of the Work, when in the best interest of the Owner, in its sole discretion. Without any additional compensation to the Contractor for such suspension; however, the Contractor shall be granted an extension of time for completing the Work in the same amount of time that it was delayed by such suspension, unless said suspension was necessitated by the actions or inactions of the Contractor.

#### **FAILURE TO COMPLETE ON TIME**

If the Contractor fails to complete the Work within the time or times allowed by the Contract, the Owner, if satisfied that the Contractor is carrying the Work forward with reasonable progress and deems it to be in the best interest of the Public, may allow him to continue in control of the Work. It shall be necessary for the Contractor to make written application to the Owner in order to warrant such continuance. Payments to the Contractor for work performed and materials furnished will be made.

When the work is not completed within the time or times allowed by the Contract, and the Contractor is permitted to remain in control, the Work shall be prosecuted at as many different places, at such times, and with such forces as the Owner may request.

For each calendar day that any work shall remain incomplete after the Contract completion date or dates, the amount set forth in the Schedule, in Section 108 of the Kentucky Standard Specification for Road and Bridge Construction, will be deducted from any money due the Contractor, not as a penalty but as liquidated damages provided. However, that due amount shall be taken off any adjustment of the completion date or dates granted under the provision of "Time of Completion and Damages for Delays". In the event one or more interim completion dates are specified without specific separate liquidated damages, the amount set forth in the Schedule in Section 108 of the Kentucky Standard Specification for Road and Bridge Construction will apply separately to each interim date. In the event a period of liquidated damages for an interim completion date overlaps a subsequent completion date, the liquidated damages will be cumulative.

Permitting the Contractor to continue and finish the Work or any part of it after the date or dates fixed for its completion, or after the date or dates to which completion may have been extended, will in no way operate as a waiver on the part of the Owner of any of its right under the Contract.

The Owner may waive such portions of the liquidated damages as may accrue after the work is in condition for safe and convenient use by the public.

### **UNSATISFACTORY PROGRESS AND TERMINATION OF THE CONTRACT**

In case the Contractor or any Subcontractor fails to furnish materials or to execute the Work in accordance with the Plans and Specifications, or if the provisions of the Contract are otherwise violated, then in any such case, upon ten days written notice to the Contractor and his Surety, the Owner shall have the right to declare the Contractor in default on the Contract. Said notice shall contain the reason for the Owner's intention to declare the Contractor in default on the Contract and, unless within ten days after service of said notice the violation shall cease or satisfactory arrangements shall have been made for its correction, the Contractor, upon the expiration of said ten days, shall be in default on the Contract and his right to proceed under the Contract shall be terminated.

In the event the Contract is thus declared to be defaulted, the Owner will immediately notify the Contractor and his Surety of such action, and will at once cause the work already done to be measured and computed. The action of the Owner in the declaration of the default of the Contract shall be final and conclusive, and the Contractor shall not be entitled to claim or receive any damages for not being allowed to continue. After the default of the Contract, the Surety shall have the right to take over and complete the Work, provided, however, that the Surety shall notify the Owner in writing of its intent to do so within twenty (20) days after the notice of the default of the Contract. Such completion of the Work by the Surety shall be done in strict accordance with all the provisions of the original Contract. However, if the Surety does not take over the Contract as stated above, then the Owner shall cause the Work to be completed under a second contract. If the cost of the Work done under the second contract exceeds what it would have cost under the original Contract, the increased cost shall be paid from any money due the Contractor under the Contract, and if that is not sufficient, then the increased cost shall be paid by the Contractor and/or his Surety.

The Contractor and/or his Surety shall also pay all cost and expense of reletting the Work and all damages resulting from noncompletion of the Work within the Contract time. If, when the Work is completed, it is found that there is any money due the Contractor, it will be paid to him; but no money shall be paid to the Contractor under the Contract after it has been declared in default, until the Work has been completed and accepted and all claims and suits resulting therefrom shall have been settled.

## **PAYMENTS**

The Owner shall pay to the Contractor the price stipulated in the Contract, by making progress payments to the Contractor during the performance of the Work, on the basis of the value of work performed.

The Contractor shall submit an invoice to the Engineer of the quantity of work performed for approval. Requests for payment shall not be made more frequently than every thirty (30) days. The Engineer shall forward the invoice to the County Road Supervisor for approval, and upon approval of the invoice by the Engineer and the County Road Supervisor, the Owner shall pay the Contractor within thirty (30) days.

Partial payment may be reduced or withheld entirely if, in the opinion of the Owner, construction is not proceeding according to the Contract, or if for any other violation, or for failure of the Contractor to comply with the orders of the Owner, or pending settlement of claims of liens filed against the Contractor.

The Owner shall make partial payments to the Contractor for work performed and materials delivered to the site at 95% of the value of work.

The Contractor shall submit three (3) signed and notarized original copies of each Application for Payment to the Engineer. One copy shall include waivers of lien and similar attachments if required.

## **WAIVER OF MECHANIC'S LIEN**

Upon reaching 75% completion and again prior to Final Application for Payment, the Contractor shall submit waivers of mechanic's liens from subcontractors, materialmen, and suppliers for all construction to date.

1. Owner reserves the right to designate which entities involved in the Work must submit waivers.
2. Submit final Application for Payment with or preceded by final waivers from every entity involved with performance of the Work covered by the application who is lawfully entitled to a lien.
3. Waiver Forms: Submit waivers of lien on forms provided.

## **ACCEPTANCE OF FINAL PAYMENT**

After the final inspection has been made and the Owner has accepted the Work, the final estimate and Final Statement of Cost will be prepared. If any items were erroneously overestimated in any partial estimate, such errors will be corrected in any subsequent partial estimate or in the final estimate, and the Contractor shall have no right to any such excess and shall not be entitled to any damage on account of such corrections in the final estimate.

The following paperwork is necessary from the Contractor to close-out the Project:

1. Final invoice for payment.
2. Final affidavit listing all subcontractors/suppliers used on the Project and indicating the amount paid in full.
3. Final Release of Liens from all subcontractors indicating the amount paid in full.
4. Prevailing Wage Affidavit, if applicable.
5. Guarantee.



6. Final Release of Lien.
7. Concrete Test Reports, if applicable.
8. Asphalt tickets stamped with the Inspector's seal, if applicable.
9. Any additional testing reports as required by the Contract.

After the final estimate and Final Statement of Cost have been prepared and after the Contractor has fulfilled all of his obligations under the Contract and all the above paperwork has been accepted, the Owner will pay the entire sum found to be due the Contractor after deducting all previous payments and any liquidated damages, if applicable.

After the final estimate and Final Statement of Cost have been prepared and after the Contractor has fulfilled all of his obligations under the Contract, the Owner will pay the entire sum found to be due the Contractor after deducting all previous payments and any liquidated damages, if applicable.

The date of acceptance of the Work by the Owner shall be the date of approval of the Final Statement of Cost.

If, after physical completion of the work and acceptance of the Owner's final measurements by the Contractor, the Owner finds that the Final Statement of Cost or final estimate or both may be unavoidably delayed, he may allow a payment on one-hundred percent (100%) of the final measurements, less such estimated amount of money as the Owner may deem necessary to withhold to take care of any contingencies which may arise.

Should the Contractor have any claim against the Owner because of a variance with the Owner's final measurement, the Owner may allow payment based on the Owner's measurement pending adjustments of the disputed item or items. Acceptance of payment on such basis shall not stop the Contractor's claim nor prevent its satisfactory adjustment.

Retainage shall be paid to the Contractor within thirty (30) days from the date of the Owner's final acceptance of the Work and the completion of the Contract. Upon the Contractor's acceptance of this final payment, the Owner and the Engineer shall be released from any and all claims and any liability to the Contractor for anything further under or relating to the Contract or the Contract Documents, including any act or omission by the Owner or any of its employees or agents, including the Engineer; however, no payments, final or otherwise, shall operate as a release on the Contractor or its Sureties from any obligations under the Contract or the Contract Documents.

#### **TERMINATION OF THE OWNER'S LIABILITY**

No person, partnership, firm, or company other than the Contractor shall have any interest in the Contract and no claims shall be made or held valid and neither the Owner nor its agents shall be held liable for, nor shall be held to pay any money except as herein provided. The acceptance by the Contractor of the final payment made as aforesaid shall operate as, and shall constitute, a release to the Owner and its agents from any claim or liability to the Contractor for anything done or furnished for, or relating to the Work or for any act or neglect of the Owner or any person related to or connected with the Work.

#### **TERMINATION FOR CAUSE**

- A. In the event that any of the provisions of the Contract are violated by the Contractor, or by any of its subcontractors, the Owner may serve written notice upon the Contractor and its surety of its intention to terminate the Contract. Such notice shall list the act or omission causing the breach, upon the service

of such notice, the Contractor shall have ten (10) business days to correct the breach or to make arrangements for correction that is satisfactory to the Owner.

- B. If no such correction or arrangements are made within the allotted time, the Owner may, in its sole discretion, terminate the Contract on a date solely determined by the Owner. In the event of such termination, the Owner shall immediately serve notice thereof to the Contractor and its surety. The surety shall then have the right to take over and perform the Contract provided, however, if the surety does not elect to continue performance, the Performance Bond will be forfeited and the Owner shall cause the Contract to be completed.
- C. Upon termination for cause, the payment to the Contractor of compensation earned for Work performed to the date of such termination shall be in full satisfaction of all claims against the owner under this Contract, however the Owner shall have the right to deduct from any amounts due and owing to the Contractor, including retainage, any costs, both direct and incidental, incurred by the Owner in completing the Project. The Contractor and/or surety shall be liable for any excess costs the Owner may so incur, and the Owner shall have the right to pursue any legal remedies necessary to affect the same.

#### **TERMINATION FOR CONVENIENCE**

- A. The Contractor hereby acknowledges that as the Owner is a public entity, due to unforeseen circumstances, funding restraints, or changes in the nature of the Work, it may become necessary for the Owner to terminate the Contract for convenience.
- B. In the event the owner finds it necessary to terminate the Contract for convenience, the Owner shall serve notice upon the Contractor and its surety of its intention to terminate the Contract ten (10) business days prior to the termination date.
- C. Upon termination for convenience, the Owner shall pay to the Contractor all compensation due for Work performed to the date of termination, including all costs for materials that were to be incorporated into the Project that cannot be returned; all restocking fees for materials that were to be incorporated into the Project that can be returned only upon the payment of a restocking fee. The Contractor shall submit to the Owner detailed invoices and proof of restocking fees, if any, within ten (10) business days of his receipt of notice of termination from the Owner. In addition, the Owner will negotiate compensation with the Contractor for actual costs incurred as a result of the termination.

#### **CONTRACTOR'S RIGHT TO TERMINATE CONTRACT**

The Contractor may terminate the Contract, upon ten (10) days written notice to the Owner if any public authority should stop the work for three (3) months, or if the Owner should fail to issue a Certificate of Payment, or if the Owner should fail to pay in accordance with this agreement.

#### **GUARANTEE OF WORK**

The Contractor hereby guarantees all work performed for a period of one (1) year from the date of completion, against all defects resulting from the use of inferior materials or equipment (unless said materials or equipment were provided by the Owner) or inferior workmanship. The Contractor hereby agrees that during the guarantee

period, it shall make all repairs, corrections, replacements or changes that, in the opinion of the Engineer, are necessary due to the use of materials, equipment or workmanship which are inferior, defective or not in accordance with the Contract or Contract Documents. The Contractor shall, promptly upon receipt of written notice from the Owner, remove and replace all unsatisfactory work with suitable materials, equipment or workmanship, without additional expense to the Owner.

If the Contractor fails to proceed with these terms of the guarantee in a timely manner, the Owner shall have the right to have the defects corrected, and the Contractor and its sureties shall be liable to the Owner for all expenses incurred by the corrections.

Any or all special guarantees applicable to any definite parts of the Work, including the materials or equipment, shall also be subject to the terms of this section during the first year of the life of such special guarantees.

Customary manufacturer's guarantees in excess of one year shall be turned over to the Owner. If the terms and conditions as set forth are met to the satisfaction of the Owner and Contractor, the Owner may reduce the Performance Bond to ten percent (10%) of the total amount paid the Contractor in the performance of this Contract as a Guarantee bond.

#### **NOTICE**

Any written notice required to be served under the Contract or the Contract Documents shall be served by certified mail, or by personal service at the parties' places of business.

#### **NO ESTOPPEL**

At no time shall the Owner be precluded or estopped by any provisions of the Contract, from demanding and recovering from the Contractor any damages sustained because of the Contractor's failure to comply with the Contract or the Contract Documents. The final inspection of the Work shall not be binding or conclusive upon the owner if it subsequently appears that the Contractor willfully, fraudulently, or through collusion with an agent of the Owner, supplied inferior materials or workmanship, or departed from the terms of the Contract or Contract Documents, notwithstanding the acceptance of the Work and payment for the same by the Owner.

#### **ASSIGNMENT**

Neither the Contract or any part thereof, nor any funds to be received there under, by the Contractor shall be assigned, except upon the prior written permission of the Owner, upon any conditions that may be imposed by the Owner, and upon the prior written permission of any sureties who executed the Performance Contract Bond on behalf on the Contractor.

#### **INDEPENDENT CONTRACTOR STATUS**

At all times during the term of the Contract, the Contractor shall be and remain as an Independent Contractor with respect to all services performed under the Contract, The Contractor agrees that all income reporting requirements to the U.S. government, the State of Kentucky, and any local governments are its responsibility and not that of the Owner. The Contractor shall be responsible for the payment of all taxes including, but not limited to, Federal, state, and local taxes, Social Security taxes, unemployment insurance taxes, and other taxes or license fees required by law, for its officers, agents, and employees. The Contractor agrees that neither it, nor any of its

officers, agents, nor employees is entitled to receive workers' compensation, unemployment compensation, vacation leave, sick leave, or any other fringe benefits provided to the employees of the Owner or any other Owner agency, under this Contract. Contractor acknowledges that under this Contract, the Owner is not required to contribute to the Kentucky Public Employees Retirement System on behalf of the Contractor, its officers, agents, or employees, nor is the Contractor eligible to contribute to or receive benefits from said system.

### **OTHER CONTRACTS**

The Owner reserves the right to allow other work or to enter into other contracts for work or materials to be constructed or placed in or about the Work to be performed under this Contract, and to order the starting and progress of such other contracts at any time prior to the completion of this Contract. The Contractor hereby agrees to allow the construction or progress of other such work, under such arrangements for the joint occupation for the site of the Work as the Engineer may establish. The Contractor hereby waives any claim for damages or extra compensation by reason of any real or supposed interference with his performance of the Work; however, if in the judgment of the Engineer, the joint occupation of the site has unreasonably impeded the progress of the Contractor's work under the Contract, then the time for completion of the Work may be extended by the Owner.

### **PATENTS**

The Contractor shall indemnify and hold harmless the Owner, its officers, employees, and agents from all liabilities, judgments, costs, damages, or claims arising from the infringement of any patent, patent rights or royalty rights by reason of the use of any patented materials, machinery, devices, and equipment furnished or used in the performance of the Work, or by reason of the use of patented designs furnished and incorporated into the Work by the Contractor and accepted by the Owner, excepting any materials or equipment furnished by the Owner. In the event that any claim, suit, or action in law or equity of any kind whatsoever is made or brought against the Owner involving any such patents, then the Owner shall have the right to retain, from the money due and owing to the Contractor, an amount the Owner deems sufficient to protect the Owner against loss until such claim, suit, or action has been settled and evidence of such settlement has been satisfactorily presented to the Owner's Law Director.

### **LAWS, ORDINANCES, AND REGULATIONS**

The intent of the Contract and the Contract Documents is to include each and every provision and clause required by law to be inserted herein, and they shall be read and enforced as though there were included herein.

The Contractor shall keep itself fully informed of, and shall strictly observe and comply with, all applicable Federal State, County, and local laws, rules, regulations, and ordinances; building code requirements; permit requirements; licensing requirements; inspection requirements; all laws, rules, and regulations regarding the employment of and payment of all laborers, the legal rights of all laborers employed under the Contract; all orders or decrees that exist or that may be enacted by anybody or tribunal having jurisdiction or authority over any aspect of the Work. The Contractor shall also insure that its subcontractors are also informed of and strictly comply with and observe all applicable laws, rules, regulations, and ordinances.

The Contractor shall be required to give all notices and pay all fees for any required permits, licenses, or inspection, unless the Owner assumes the responsibility for giving such notices or paying such fees. The Engineer will discuss any special permits that may be required for the Project at the preconstruction conference.

The Contractor shall indemnify and hold harmless the Owner, the Owner's officers, employees and agents, including the Engineer, against any claim or liability arising from or based upon any violation of any such law, rule,

regulations, ordinance, order, decree or requirement, whether by the Contractor itself, its employees or agents, or any of its subcontractors.

Should the Contractor at any time find that any requirement of the Contract of the Contract Documents is at variance with any applicable law, rule, regulation, requirement, order or decree, it shall promptly notify the Engineer.

### **ENVIRONMENTAL PROTECTION**

The Contractor shall observe and comply with all Federal, State, and local laws and regulations controlling pollution of the environment and shall comply with provisions of Section 107 of the Kentucky Standard Specification for Road and Bridge Construction.

### **TAXES**

The Contractor will be required to pay, without additional expense to the Owner, all Federal, State, local and other taxes which may be applicable to the Work, excepting any taxes and assessments on the real property comprising the site of the Work.

The Contractor hereby agrees to withhold all Campbell Co. income taxes due or payable under the provisions of the Codified Ordinances of Campbell County for wages, salaries, and commission paid to its employees who will work within the City limits for more than 12 workdays and further agrees that any of its subcontractors shall be required to withhold any such Campbell Co. Road Department income taxes due under said Code for services performed under this Contract. The Contractors is advised to get full information from the Tax Office prior to bidding.

### **ITEMS 105.07 / 107.15 - COOPERATION WITH UTILITIES**

All portions of Item 105.07 and Item 107.15 of the Kentucky Department of Highways Standard Specifications for Road and Bridge Construction shall apply.

At least two (2) working days prior to commencing construction operations in an area which may involve underground utility facilities as shown on the plans, the Contractor shall notify the Engineer, the registered utility protection service, and the owners of each underground utility facility not members of the registered utility protection service.

The existing underground utilities are shown as accurately as possible on the plans, based on information available. The owner and/or the Engineer do not assume any liability for location of these underground utility service lines. Any utility services damaged that were previously marked in the field shall be replaced at the Contractor's expense.

Where the plans provide for conduit to be connected to, or to cross either over or under, or close to an existing underground structure, it shall be the responsibility of the Contractor to locate the existing structure, both as to line and grade, before he starts to lay the proposed conduit, in order to assure compatibility with line and grade of the proposed conduit. Payment for all operations described above shall be included in the unit price bid for the pertinent conduit item.

The Contractor shall adjust or arrange with utility company to adjust to proposed grade all existing utility facilities, i.e., manholes, catch basins, valves, boxes, etc., prior to the commencement of paving operations. This

shall include utility facilities not shown on the plans, which may be found to be located within the pavement area. Work performed on the utility facilities shall be in strict accordance with the specifications of the applicable utility company and shall be performed under the direction, supervision, and inspection of said company.

### **COORDINATION WITH UTILITIES**

Coordination of work schedules with affected utilities will be required. Upon the contract award, the coordination of all necessary relocations or adjustment of all utility facilities become the responsibility of the Contractor.

### **ITEM 106 - CONTROL OF MATERIAL**

Unless otherwise specified, all materials shall be new, and both workmanship and materials shall be of proper quality and sufficient for the purpose contemplated. The Contractor shall furnish, if so required, satisfactory evidence as to type and quality of materials and workmanship.

All items of equipment and/or material proposed by the Contractor for substitutions must be approved by the Engineer in writing and shall be equal or superior to the items specified in the contract documents. If said substitution proposed by the Contractor for a specified item requires engineering revisions, the total expense of said revisions shall be paid by the Contractor.

Any items of labor and materials required, but not shown as a separate pay item in the proposal, shall be furnished and installed as incidental to the contract, except as noted in the plans and specifications.

### **ITEM 106.08 - STORAGE OF MATERIALS**

The Contractor shall obtain prior approval in writing from the Owner for the locations to be used for the temporary storage of construction materials, tools, and/or machinery. All such materials, tools, and machinery shall be neatly and compactly piled in such a manner as to cause the least inconvenience to the property owners and to traffic. Under no circumstances shall existing drainage courses be blocked or water hydrants, valves, or meter pits covered. All materials, tools, machinery, etc., stored upon public thoroughfares must be provided with warning lights and reflective sheeting at nighttime and weekends to alert traffic of such obstructions.

### **ITEM 108.02 - PRECONSTRUCTION CONFERENCE**

Prior to the commencement of construction activities, the Engineer will arrange a meeting between the Contractor, the representatives of the Owner, and the representatives of each of the utility companies. The time, date, and location of said meeting will be determined after the awarding of the contract, and the parties will be notified by the Engineer.

The agenda for the preconstruction meeting shall include the following items:

1. Announcement of Award
2. Utility Company Requirements
3. Designation of Emergency 24-hour Contractor Contacts

4. Discussion of Critical Plan Items
5. Review of Testing and Inspection Procedures
6. Operations Schedule
7. Listing of Haul Roads
8. Identification of Subcontractors
9. Review of Change Order Process
10. Payment Request Submittal Procedure

The Contractor shall coordinate all work with the Engineer. A detailed schedule of operations shall be furnished by the Contractor to the Engineer at the preconstruction meeting and shall list the order of operations and the time frame for the completion of each item of work. The schedule of operations shall be approved by the Engineer and the Owner in writing prior to the beginning of the work. Changes to said schedule are to be issued in writing and approved by the Engineer and the Owner before operations are changed or rescheduled. No payment will be made to the Contractor while he is delinquent in the submission of a progress schedule.

The Contractor shall supply to the Engineer at the preconstruction meeting, a list of the local roads to be used for the purpose of hauling equipment and/or material to or from the job site. Only the local roads in the vicinity of the project have to be listed; state and/or federal roads do not have to be included. Where necessary, the list shall include the extent of the roads to be affected and any special restrictions, such as height or weight restrictions, which may be applicable along said roads. Construction shall not commence until the Engineer and/or Owner has reviewed the haul road list and approved the haul roads in writing.

The submission of the list to and the review and approval of the list by the Engineer do not relieve the Contractor of the responsibility for the conforming to and the obeying of all applicable height and weight restrictions on the haul roads and of the responsibility for any damage done to and/or along said haul roads. The Contractor is referred to Item 105.10 concerning load restrictions.

#### **ITEM 107.04 - PERMITS, LICENSES AND TAXES**

The Contractor shall insure that all required notices are given and all permits acquired before the commencement of work. The Engineer will discuss any special permits required for this project at the preconstruction meeting.

#### **ITEM 107.14 - CONTRACTOR'S RESPONSIBILITY FOR WORK**

It shall be the responsibility of the Contractor to perform his work in such a manner as not to damage or destroy any existing feature (i.e., existing inlets, conduits, etc.), which is not marked for replacement or removal. The Contractor shall exercise due care during construction so as not to destroy any trees, plants, shrubs or structures not specifically marked for removal or relocation within the work limits. In some instances, the Contractor will be required to excavate under and around the existing utilities. Extreme care should be used not to damage the utility during this operation. The Contractor shall schedule his operations so that the improved areas have had sufficient time to cure, set and/or harden before the area is opened to traffic or use. The Contractor shall be responsible for the immediate repair of the improved area if any damage is done by traffic. The Contractor shall also be responsible for the immediate rectification of problems created in areas outside of the improved areas which are attributable to the failure of the improved area, i.e., the tracking of materials into unimproved areas. The Contractor shall be responsible for the protection of areas outside of the designated work limits, but which may be adjacent to those work limits. This will include those areas used by construction traffic for access to and from the work areas. Where the Engineer and/or the Owner determine that the Contractor's operations have

been responsible for damage to areas outside of the work limits, the Contractor shall be responsible for the repair of the area subject to the approval of the Engineer. No additional compensation will be due to the Contractor for any such repairs as described above.

### **ITEM 112 – MAINTENANCE OF TRAFFIC**

In addition to the item specified in Item 112 of the KYTC Standard Specifications, Contractor shall be permitted to close each street, one at a time. It is encouraged to begin the Contract at Lower Tug Fork. A detour plan shall be submitted for review and shall follow MUTCD requirements.

Roadway shall remain closed until all pay items are completed, including restoration.

### **ITEM 201 – STAKING**

On this project, the Owner shall provide one-time Construction layout for the improvements indicated in the plans. This shall include:

- Wingwall offset grade stakes,
- Centerline offset grade stakes,
- Culvert endpoints
- A Project Benchmark (location determined by the Contractor).

Staking will occur at one time and shall not occur on separate instances. Any additional staking shall be the cost of the Contractor and shall be considered incidental.

### **ITEMS 202 / 203 REMOVALS**

When a bid item is to include the cost of removal of a classified or unclassified material, it shall be the responsibility of the Contractor to verify in the field the type of material and the thickness of the material to be removed prior to submitting his bid. No additional allowance will be due the Contractor for added expense of removals due to unknown materials or thickness.

### **ITEMS 202 / 203 - DEBRIS REMOVAL**

The Contractor will be responsible for removal of all construction debris from the site. All debris shall be disposed of in a proper manner and shall be as directed by all applicable local, state, or federal regulations.

### **ITEM 206 / 207 / 302 / 701 - TESTING OF COMPACTED MATERIALS**

Compaction testing of embankment, granular backfill, and/or subgrade shall be done by an independent qualified testing laboratory under a contract with the Contractor. Testing shall be done in the presence of the Engineer at locations specified by the Engineer and shall meet standards as specified in Items 206, 207, 302 and 701.



### **ITEM 212 - SEEDING AND PROTECTION**

All grass areas disturbed by construction shall be restored by seeding and mulching as per Item 212.03. The cost of this work shall be incidental to the Contract.

The Engineer shall determine all areas requiring seeding, mulching and fertilizer pertinent to this contract.

### **ITEM 212 - COMMERCIAL FERTILIZING**

All areas to be seeded and mulched under Item 212.03 shall have commercial fertilizer (12-12-12) applied to the rate of 20 pounds per 1000 square feet, the cost of which shall be incidental to the contract.

### **ITEM 212 / 213 - EROSION CONTROL AND WATER POLLUTION CONTROL**

The Contractor shall take extreme care to prevent unnecessary erosion, water pollution and siltation at all points of the project. Temporary seeding and mulching, straw bales, slope drains, etc., shall be used as necessary or as directed by the Engineer. The cost of all temporary erosion control measures shall be incidental to the contract.

### **TESTING OF CONSTRUCTION MATERIALS**

Portland Cement Concrete: All portland cement concrete work shall be tested by an independent testing laboratory. The independent testing laboratory shall secure a random sample from each 50 yards of concrete delivered to the job site. A minimum of one sample shall be made each day that concrete work is performed. One sample consists of four specimens. Four specimens shall be molded by the testing laboratory and cured from each sample, in accordance with ASTM C 172. Cylinders shall then be tested in accordance with ASTM C39. One (1) specimen shall be tested at 7 days for information, and two (2) specimens shall be tested at 28 days for acceptance. The acceptance test results shall be the average strength of the two specimens tested at 28 days. The fourth cylinder shall be tested at 56 days only if the 28-day test results do not meet specifications.

Using ASTM C 143, the testing agency shall determine the slump of the concrete for each sample, and also whenever the consistency of the concrete appears to vary. The agency shall also determine the air content of the concrete for each sample, in accordance with either ASTM C 231, ASTM C 173, or ASTM C 138.

The agency shall report all test and inspection results to the Engineer, Contractor, and concrete supplier in writing one working day after the work is performed. All test reports shall include the exact location in the work at which the batch represented by a sample was deposited. Reports of strength tests shall include detailed information on storage and curing of specimens prior to testing.

All concrete work not meeting the specifications as listed in Item 501 and 601 shall be removed immediately and replaced in an acceptable manner with no additional compensation to the Contractor, unless provisions for an extended guarantee are provided herein.

Asphalt Concrete: Item 403 Asphalt Materials shall be plant inspected by an independent testing company; and tickets shall be stamped with the inspector's seal, indicating that material shipped to the job site meets the requirements of the specifications.

Seven (7) days prior to commencement of construction, the Contractor shall submit to the Engineer a list of two (2) or three (3) proposed, accredited testing firms. The Engineer shall then select from the submitted list the name of the firm which is to be responsible for all of the required testing.

The Contractor is responsible for notifying the testing agency 24 hours prior to starting work requiring material testing. If the Contractor fails to provide testing as per any of the above requirements, he will be required to stop work until proper arrangements have been made with the testing agency.

The testing agency and its representatives are not authorized to revoke, alter, relax, enlarge or release any requirement of the contract documents, nor to approve or accept any portion of the work.

The Contractor shall include the cost of all required tests in the unit price bid for the pertinent item and no separate compensation is to be made for said testing.

### **ITEM 601 - CONCRETE - GENERAL**

All concrete for roadway paving, curbs, sidewalks, drive aprons, steps and headwalls shall have a minimum of 5 percent entrained air and a maximum of 8 percent entrained air. For each sample, the average strength of the 7-day and the two 28-day tests shall equal or exceed 4000 psi, and no individual strength test shall fall below 3500 psi.

If the averages of all sets of three consecutive strength test results meet the following strengths, an extended guarantee will be required on all concrete work.

- a) 3500 psi to 3799 psi - 3 year guarantee
- b) 3800 psi to 3999 psi - 2 year guarantee

### **ITEM 701 - RESTORATION OF AREAS DISTURBED BY DRAINAGE FACILITIES**

Soil areas disturbed by construction of underdrains, trench drains, conduits, catch basins or other drainage facilities shall be regraded to drain properly and then restored with top soil, seeding and mulching. The cost of restoration of these soil areas is to be included in the unit price bid for the pertinent drainage item.

Pavement areas (including walks, drives, curbs, etc.) disturbed by the construction of the drainage facilities will be restored as per the applicable asphalt pavement repair detail or the concrete pavement detail. Payment for the restoration of these areas will be at the unit price bid for the pertinent pavement item.

### **ITEM 701 REMOVAL OF WATER**

The Contractor shall keep all excavations free from water while the excavation for or the construction of conduits is in progress; shall build all dams, bulkheads, underdrains, sumps, and other work necessary for this purpose; and shall provide and keep the excavation dry and free from water at all times.

The Contractor shall provide for the disposal of all water removed from the excavations in such manner as to prevent injury to the public, the public health, public or private property, or to any portion of the work completed or in progress, or the surface of the streets, and to prevent any inconvenience to the public. No ground and/or surface water shall be diverted into existing sanitary sewers.

No conduits shall be laid or built in water, and waste shall not be allowed to flow over to rise upon any concrete, brick masonry or conduit until the work has been observed and has set for at least twenty-four (24) hours.

The flow of water in all existing sewers, drains, gutters, or watercourses encountered during the construction period shall be adequately maintained by the Contractor at his expense.

## **ITEM 701 - TESTS**

### **Materials**

The Contractor shall submit to the Engineer test reports for materials supplied to be used in the construction whenever the Engineer has not received certified letters from material suppliers that materials meet the specifications called for, or there is visible evidence on the work site that the materials do not conform to the visual inspection section of the specifications called for.

The test reports shall be based upon standard methods of testing as called for in the materials specifications and as set forth by the American Society for Testing Materials (ASTM). The testing shall be done at a laboratory approved by the Engineer.

All materials shall conform to SD1 Specifications; Sections 15051, 15061 and 15067.

## **ITEM 703 - SLOPE AND CHANNEL PROTECTION**

Item 703 is provided in the plans for erosion control. Rock or turf of a stable nature will not be removed in order to place any of these items. The Engineer shall check and non-perform quantities or adjust locations and quantities for this item as warranted by field conditions during construction.

## **ITEM SPL – SET EXISTING PRECAST WINGWALL**

Item SPL – Set Existing Precast Wingwall shall include all labor materials and equipment to install the existing wingwall B on Dodsworth Road. Wingwall B shop drawings are available for review upon request. The existing wingwall is located at the Campbell County Public Works Facility for review. Campbell County Public Works will deliver the existing precast wingwall to Dodsworth Road at the time specified by the Contractor. Contractor shall unload and install per the Contract Drawings at the lump sum price included.

# ELECTRIC UTILITY NOTES

## DUKE ENERGY

1. **DANGER** - Contractor shall contact the company prior to excavation in vicinity of electric underground facilities (approximate plan location shown) or when working near overhead electric facilities.
  - (A) For Field Inspector to locate underground electric line, in Ohio call "Ohio Utilities Protection Service" at 1-800-362-2764, and in Kentucky call "Kentucky Underground Protection Service (KUPS)" at 1-800-752-6007 (at least 48 hours in advance), excluding hours Sat., Sun., and State Legal Holidays.
  - (B) For notification of construction activity near energized electric facilities, call Mr. Bob Schroeder, 287-3426.
  - (C) For additional underground electric record information, call 287-2454.
  - (D) For electric engineering notification, agreements and correspondence, address to Mr. James Dugan, Central Accounting Marketing Section, Duke Energy, P. O. Box 960, Cincinnati, Ohio 45202-0960.
2. Contractor shall be responsible for all damages to electric facilities during construction.
3. Electric facilities to be kept in service at all times.
4. Contractor shall be responsible for supporting existing electric facilities affected by the proposed construction.
  - A. Where high pressure oil filled pipe type cable installations are exposed or otherwise interfered with by the Contractor, protection by the Contractor will be required against damage to the coating or surrounding thermal sand envelope.
  - B. Where concrete encased conduit systems or direct buried cable systems are exposed or otherwise interfered with, the Contractor shall protect the system as necessary against damage. As soon as feasible, the Contractor shall take additional appropriate steps to provide permanent measures to restore support. The methods used shall be based on conditions to be determined by the utility.
  - C. Where poles or anchors that support overhead electric facilities are exposed or otherwise interfered with, the Contractor shall protect them from damage and provide temporary support to insure the integrity of the system. As soon as feasible, the Contractor shall take additional appropriate steps to provide permanent measures to restore support. The methods used shall be based on conditions to be determined by the utility.
  - D. Where the depth of excavation for the proposed work is greater than five (5) feet, the Contractor shall sheet and shore the trench to continuously maintain the support of electric facilities at locations where the electric facilities are within the zone of influence adjacent to the excavation as determined by the natural angle of repose of the soil.
  - E. All damage to electric facilities and services requiring adjustments, relocations and/or repairs will be made at the Contractor's cost.
5. Contractor shall not backfill exposed electric facilities until the company has inspected its facility or performed any adjustments and/or maintenance that may be required.

**NOTE:** Should Contractor damage electric facilities, Contractor shall immediately notify the Electric Service Desk through the Company Operator (381-2000). Contractor shall keep everyone clear of damaged electric facilities until company personnel arrive at the work site.

# **GAS FACILITY NOTES**

## **DUKE ENERGY COMPANY**

### **Gas Facility Notes**

- I. For Gas Engineering Notification, agreements, and official correspondence, address to:  
  
Duke Energy  
139 East Fourth Street  
P.O. Box 960, Room 460-A  
Cincinnati, Ohio 45202
- II. The gas main information provided shows the approximate locations and depths of cover and is provided to comply with statutory regulations. This information should be used only for planning, not construction.
- III. All gas main depths of cover noted are approximate depths of cover recorded at the time of installation. Any resulting grade changes since the time of the main installation will cause the existing depth of cover to be different. Extreme care must be taken to ensure safe excavation when approaching known or suspected gas facilities.
- IV. All gas services were installed at a minimum of 1'-6" of cover. See item III above.
- V. For additional gas facility record information, call 1-800-372-7612.
- VI. To comply with federal and state regulations concerning damage prevention programs, the utility companies must be contacted at least 48 hours (two working days) prior to excavation by calling the OHIO UTILITIES PROTECTION SERVICE (OUPS), toll free, at 1-800-362-2764.

### **Construction Notes**

- I. Gas facilities are to be kept in service at all times.
- II. The Contractor shall be responsible for all damages to gas facilities during or as a result of the Contractor's construction. All damage to gas facilities requiring adjustments, relocations and/or repairs will be made at the Contractor's cost.

The Contractor shall sheet and shore all excavations as required to continuously support gas facilities within the zone of influence (as determined by the natural angle of repose of the soil).

- IV. Crossing buried gas facilities with heavy construction equipment may cause damage to the gas facilities. Contact the Duke Energy Gas Engineering Department for details on how to protect the gas facilities from damage.
- V. The Contractor shall not backfill exposed gas facilities until the utility has inspected its facilities and performed any maintenance and/or adjustments that may be required.

- VI. The Contractor is responsible for preventing any damage to our gas facilities. This includes protection of coatings and wrappings on steel gas mains. It also includes any damage with may have occurred to plastic gas mains, such as crimps or gouges.
- VII. When cast iron or similar gas facilities are exposed or interfered with by the Contractor, replacement or reinforcement by Duke Energy may be required at the Contractor's expense. Backfill with control low strength material will be required.
- VIII. Blasting or other construction procedures which may transmit loads or vibrations in the vicinity of gas facilities must be approved by Duke Energy Gas Engineering Department. A blasting plan, identifying all pertinent information, must be submitted in writing by a blasting expert prior to any work.

**Proposed Developments at Gas R/W & Easements (If Applicable)**

- I. Proposed development plans around and near gas facilities within private easements must be submitted to Duke Energy Gas Engineering Dept. for review. These plans must be approved before any work may begin within our easements.
- II. Specified easement widths must be maintained in order for Duke Energy to protect its facilities.
- III. No permanent structures may be built within the easements.
- IV. Cuts and fills are generally not permitted within the easements. Some fills may be allowed, and will be reviewed on an individual basis. Any permitted fills will be limited to an amount which will allow Duke Energy to properly maintain its facilities.
- V. Perpendicular utility crossings of gas easements are acceptable, provided proper clearances are maintained. Parallel installations are normally not allowed.

## **SANITARY SEWER NOTES**

Sanitary sewer and/or combination sewer items are to be constructed in accordance with the provisions of the Sanitation District No. 1, and under the direction, supervision and inspection of the Sanitation District No. 1. Sanitation sewer items are to be constructed in accordance with the provisions of the Kentucky 2000 Transportation Cabinet / Department of Highways, Standard Specifications for Road and Bridge Construction, dated January 1, 2000, and any supplements or changes thereto.

The Contractor shall supply separate bid items for raising manholes using manhole adjustment rings and for using brick and mortar. If only one bid item is received, the Contractor shall raise all manholes with brick and mortar. Sewer manhole adjustment prior to machine paving shall be done in accordance with the Sanitation District No. 1 Rules and Regulations.

In the event that manhole adjusting rings cannot be used on sanitary and/or storm sewer manholes, the Contractor shall be required to use brick masonry and to adjust manholes to grade. Stacking of adjusting rings shall not be permitted. Substandard or damaged manhole casting shall be replaced with standard casting.

# **BID FORMS**

The bid forms are not available online. The bid forms are available only by purchasing a set of plans and specifications at the location indicated in the Legal Notice.